

Encounter Data System User Group

March 7, 2013

Agenda

- Purpose
- Session Guidelines
- CMS Updates
- EDS Updates
 - EDS Known Issues
 - EDS Edits
 - Proxy Data Reason Codes
- EDS Operational Highlight
- Encounter Adjustments
- Chart Review Submission Guidance
- Guidance for New 2013 MAOs and Other Entities
 - EDPS Acknowledgement Reports
- EDS Companion Guides Updates
- EDS Implementation Next Steps
- Closing Remarks

Purpose

- To provide Medicare Advantage Organizations (MAOs) and other entities that are currently submitting encounter data with operational guidance on testing and submitting production data to the Encounter Data System (EDS).
- To provide new 2013 MAOs and other entities with resources and operational guidance related to certification and submission of encounter data to the EDS.
- To provide Medicare Advantage Organizations (MAOs) and other entities information on EDS policy.

MAOs and Other Entities

- CMS requires the following types of organizations to submit encounter data:
 - Medicare Advantage (MA) Plans
 - Medicare Advantage-Prescription Drug (MA-PD) Plans
 - Health Maintenance Organizations (HMOs)
 - Special Needs Plans (SNPs)
 - Local Preferred Provider Organizations (PPOs)
 - Regional PPOs
 - Employer Group Health Plans
 - Programs of All-Inclusive Care for the Elderly (PACE) Plans
 - Cost Plans (1876 Cost HMOs/CMPs and 1833 HCPPs)
 - Medical Savings Account (MSA) Plans
 - Private Fee-for-Service (PFFS) Plans
 - Religious Fraternal Benefit (RFB) Plans
 - Provider Sponsored Organizations (PSOs)

Session Guidelines

- This is a one (1) hour Encounter Data User Group session.
- The first portion of this session will address updates for the EDS.
- The second portion of this session will address guidance for new 2013 MAOs and other entities.
- If time allows, the Encounter Data team will facilitate two (2) Q&A periods.

CMS Updates

2012 End-to-End Certification Status

- 549 MAOs and other entities

– **538 certified**

DME certification deadline:

August 31, 2012

- 89 PACE Organizations

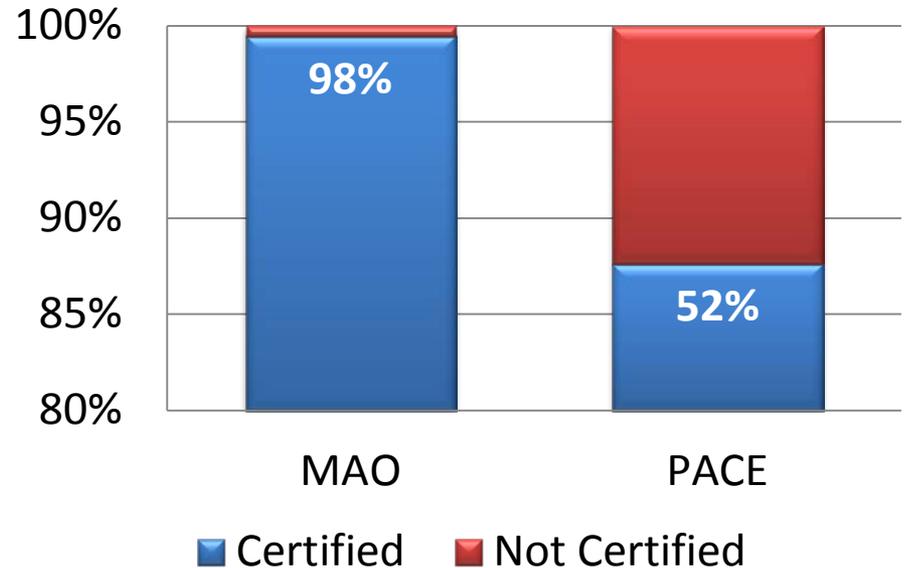
– **46 certified**

PACE certification deadline:

February 28, 2013

Note: Those submitters that do not meet the requirements for end-to-end certification will receive written communication.

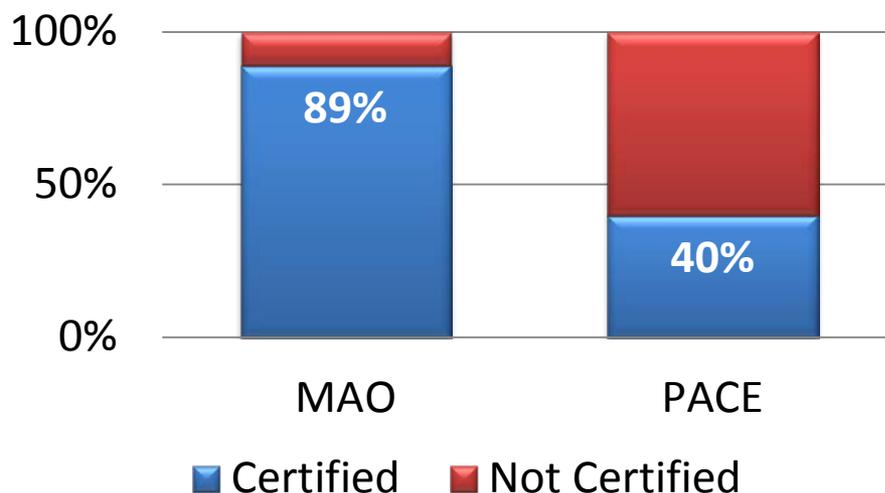
End-to-End Certification Status as of March 5, 2013



End-to-End Certification Status for New 2013 MAOs and Other Entities

- 37 new MAOs and other entities
 - 33 certified
- 5 new PACE Organizations
 - 2 certified

End-to-End Certification Status as of March 5, 2013



Note: MAOs and other entities that have not submitted EDI Agreements and Submitter Applications by February 15, 2013 are considered non-compliant.

MAO-002 Reports Update

- The EDPS is currently processing MAO-002 Reports for data received on February 20, 2013.
- If you have not received your MAO-002 Reports for files submitted prior to February 20, 2013, please contact CSSC Operations at (877) 534-2772, with your file information, for assistance.

Submission Frequency

- The minimum frequency of submission is based on enrollment size.
- MAOs and other entities may submit data as often as daily.

Number of Medicare Enrollees	Minimum Submission Frequency
Greater than 100,000	Weekly
50,000 – 100,000	Bi-weekly
Less than 50,000	Monthly

Note: CMS will use Health Plan Management System (HPMS) contract enrollment as of February 2013 to determine submission frequency for each MAO or other entity.

2012 DOS Submissions

- CMS requires that every MAO and other entity submit all data for 2012 dates of service.

EDS Updates

EDS Known Issues

EDPS Known Issues

- One (1) Known Issue is currently pending resolution:

Edit #	Edit Description	Target Resolution Date
03102	Provider Type or Specialty Not Allowed to Bill Procedure <ul style="list-style-type: none">• <i>Final resolution will cause Edit 03102 to reflect a “Reject” status.</i>	03/29/2013

- The EDPS will perform an analysis of the enhancement for edit 03102 prior to updating the disposition status from “informational” to “reject”.

EDS Edits

EDPS Edits

- When the gender submitted on the encounter is different from the gender stored in the Common Medicare Environment (CME) tables, MAOs and other entities will receive the following edit:
 - **Edit 02120** – Beneficiary Gender Mismatch with a disposition status of “reject”.
- CMS anticipates the edit disposition status change will be effective March 29, 2013.

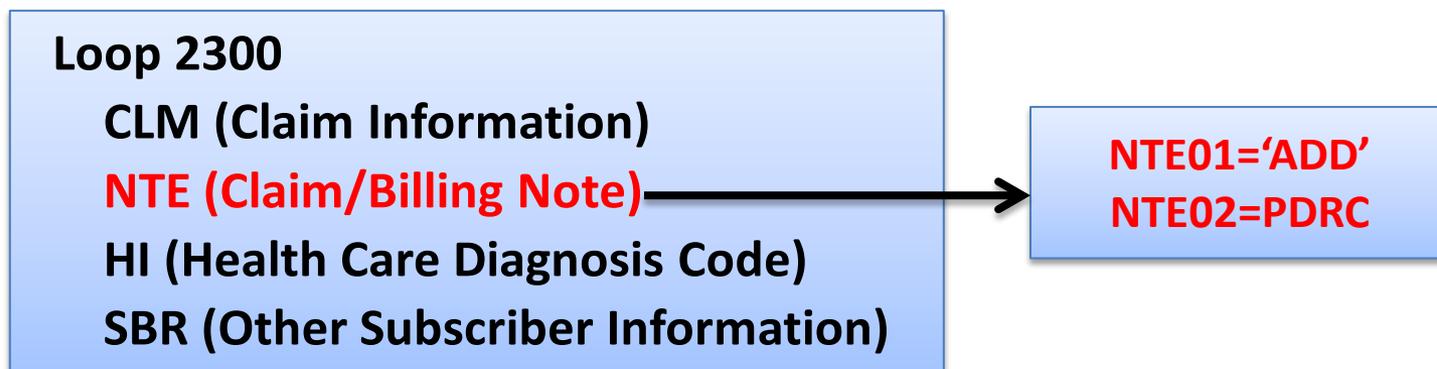
Proxy Data Reason Codes

Proxy Data Reason Codes

- Proxy data can only be submitted for a limited set of circumstances.
- Industry feedback indicated a need for multiple proxy data messages.
- As a result, CMS will no longer require the submission of a full proxy data message.
 - MAOs and other entities will use a Proxy Data Reason Code (PDRC), which will map to the proxy data message in the EDS.
 - The PDRC will allow population of multiple proxy data indicators per encounter.

Proxy Data Reason Codes (cont'd.)

- MAOs and other entities will submit multiple PDRCs in Loop 2300 NTE01 = 'ADD' and NTE02 with the appropriate three (3)-digit PDRC.



Proxy Data Reason Codes (cont'd.)

PROXY DATA	PROXY DATA MESSAGE (NTE02)	PROXY DATA REASON CODE
Rejected Line Extraction	REJECTED LINES CLAIM CHANGE DUE TO REJECTED LINE EXTRACTION	036
Medicaid Service Line Extraction	MEDICAID CLAIM CHANGE DUE TO MEDICAID SERVICE LINE EXTRACTION	040
EDS Acceptable Anesthesia Modifier	MODIFIER CLAIM CHANGE DUE TO EDS ACCEPTABLE ANESTHESIA MODIFIER	044
Default NPI for atypical, paper, and 4010 claims	NO NPI ON PROVIDER CLAIM	048
Default EIN for atypical providers	NO EIN ON PROVIDER CLAIM	052
Chart Review Default Procedure Codes	DEFAULT PROCEDURE CODES INCLUDED IN CHART REVIEW	056

EDS Operational Highlight

Highlight

ZIP Code +4 Default Value

- *Effective May 3, 2013*, MAOs and other entities must populate **'9998'** as the +4 default value.
- The default value of '9998' should only be used when the true ZIP Code +4 value is unavailable.

Encounter Adjustments

Encounter Adjustment

- Correct/replace and void/delete encounters should only be submitted after an accepted ICN has been received on an MAO-002 Report for the original or previously adjusted encounter.

Multiple Correct/Replace Encounters

- When submitting a correct/replace encounter for an encounter that was previously corrected/replaced, MAOs and other entities must use the ICN of the previously corrected/replaced encounter and not the original.
- For example:
 1. An original encounter is accepted and receives ICN = 1216400037281.
 2. A correct/replace for the original encounter is accepted and receives a new ICN = 1229840038002.
 3. A correct/replace for the previously accepted and stored correct/replace encounter is submitted.
 - The ICN assigned to the previous correct/replace encounter (1229840038002) must be used.

Multiple Correct/Replace Encounters (cont'd.)

MAO submits original encounter:
Loop 2300, CLM05-3='1'

CLM*2997677856479709654A*100.50***11:B:1*Y*A*Y*Y~

MAO-002 generates ICN =
1216400037281

MAO submits correct/replace using original ICN:
Loop 2300, REF01='F8', REF02=1216400037281

CLM*2997677856479709654A*100.50***11:B:7*Y*A*Y*Y~
REF*F8***1216400037281**~

Includes original ICN –
MAO-002 generates new
ICN = 1229840038002

MAO submits another correct/replace using previous
correct/replace ICN:
Loop 2300, REF01='F8', REF02=1229840038002

CLM*2997677856479709654A*100.50***11:B:7*Y*A*Y*Y~
REF*F8***1229840038002**~

Includes ICN for previous
correct/replace encounter –
MAO-002 generates new ICN

User
Group

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3:00 P.M. – 4:00 P.M. ET

Chart Review Submission Guidance

Chart Review Overview

- All chart review encounter data must be from an appropriate provider “specialty”, from an allowed provider type (inpatient, outpatient, or physician), and within 25 months of the data collection period.
- All diagnosis codes submitted through chart review must be based on a face-to-face visit and supported by a medical record.

Chart Review Encounter Submissions

- MAOs and other entities can perform the following actions through a chart review encounter submission:
 - Add specific diagnoses to full encounters
 - Delete specific diagnoses from a full encounter
 - Replace one chart review encounter with another chart review encounter
 - Add and delete diagnoses on a single encounter

Chart Review

Linked vs. Unlinked

- Linked Chart Review – encounter that is linked/referenced to a previously submitted and accepted encounter.
- Unlinked Chart Review – encounter that is not linked to a previously submitted and accepted encounter.

Note: *The medical record must support the data that is submitted in the chart review.*

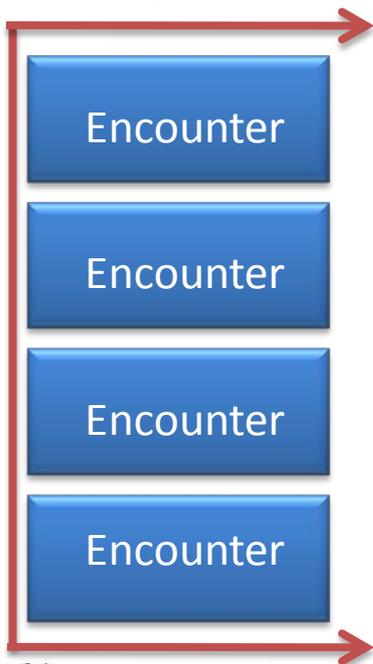
Chart Review

Scenario for Linked ICN

Chart Review – Linked ICN Partial Data String

```
CLM*2997677856479709654A*0.00***11:B:1*Y*A*Y*Y~  
PWK*09*AA~  
REF*F8*1298768987657~  
HI*BK:4475~  
SBR*P*18*XYZ1234567*****16~
```

ST



2300 Loop

CLM05-3='1' = (Original Encounter)

PWK01 = '09' (Report Type Code)

PWK02 = 'AA' (Attachment Transmission Code)

REF01 = 'F8'

REF02 = 1298768987657

SE

Chart Review Addition

- To add diagnosis codes as a result of a chart review, include the following data as well as the minimum data elements:

Loop 2300

PWK01='09' (Report Type Code)

PWK02='AA' (Attachment Transmission Code)

REF01='F8 (Reference Identification Qualifier)
(If the chart review is linked to an ICN)

REF02= (ICN of the previously accepted encounter) (Claim
Original Reference Number)
(If the chart review is linked to an ICN)

CLM05-3='1' for an original encounter (Claim Frequency Code)

Chart Review Scenario

Addition of Diagnosis Code

- A-One Health Plan performed a chart review at Health Care Associates and discovered that diagnosis 402.10 – Benign Hypertensive Heart Disease without Heart Failure was not included on the original encounter for Gwendolyn Nguyen. The ICN assigned to the original full encounter was 031854924258.

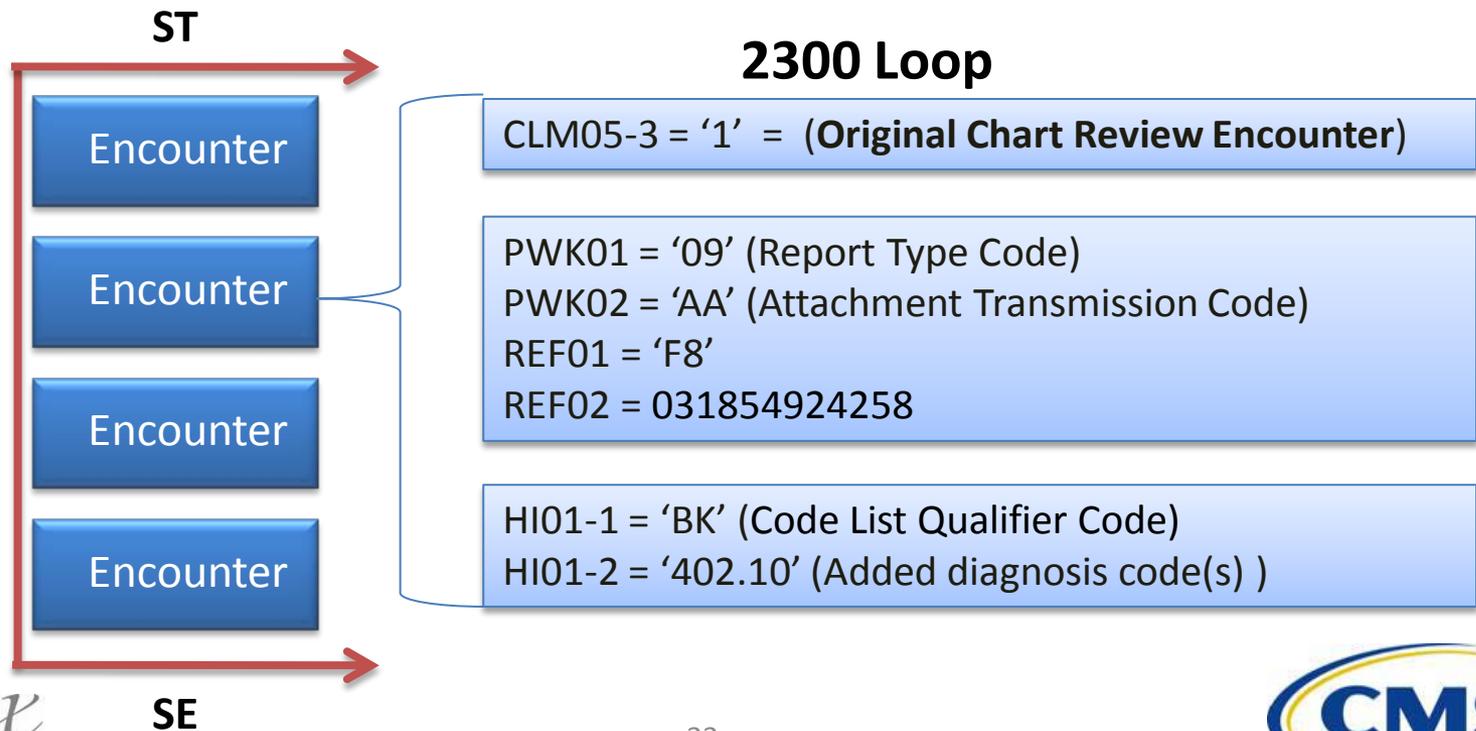


Chart Review

Addition and Deletion – Single Encounter

- For addition/deletion of diagnoses on a single encounter, include the following data as well as the minimum data elements:

Loop 2300

CLM05-3 = '1' = Original

PWK01 = '09'

PWK02 = 'AA'

REF01 = 'F8'

REF02 = ICN from accepted and stored encounter
(for linked chart review)

REF01 = 'EA'

REF02 = **Deleted diagnosis code(s)**

HI01-1 = 'BK' (first diagnosis code only)

HI01-2 = **Added diagnosis code(s)**

Chart Review Scenario Addition and Deletion

- Healthy Life submitted an original encounter, and received ICN 0491053954856. Several months later, they performed a chart review and discovered that a diagnosis code supported by the medical record was not originally submitted. They also discovered a diagnosis code submitted on the original encounter could not be supported by the medical record.

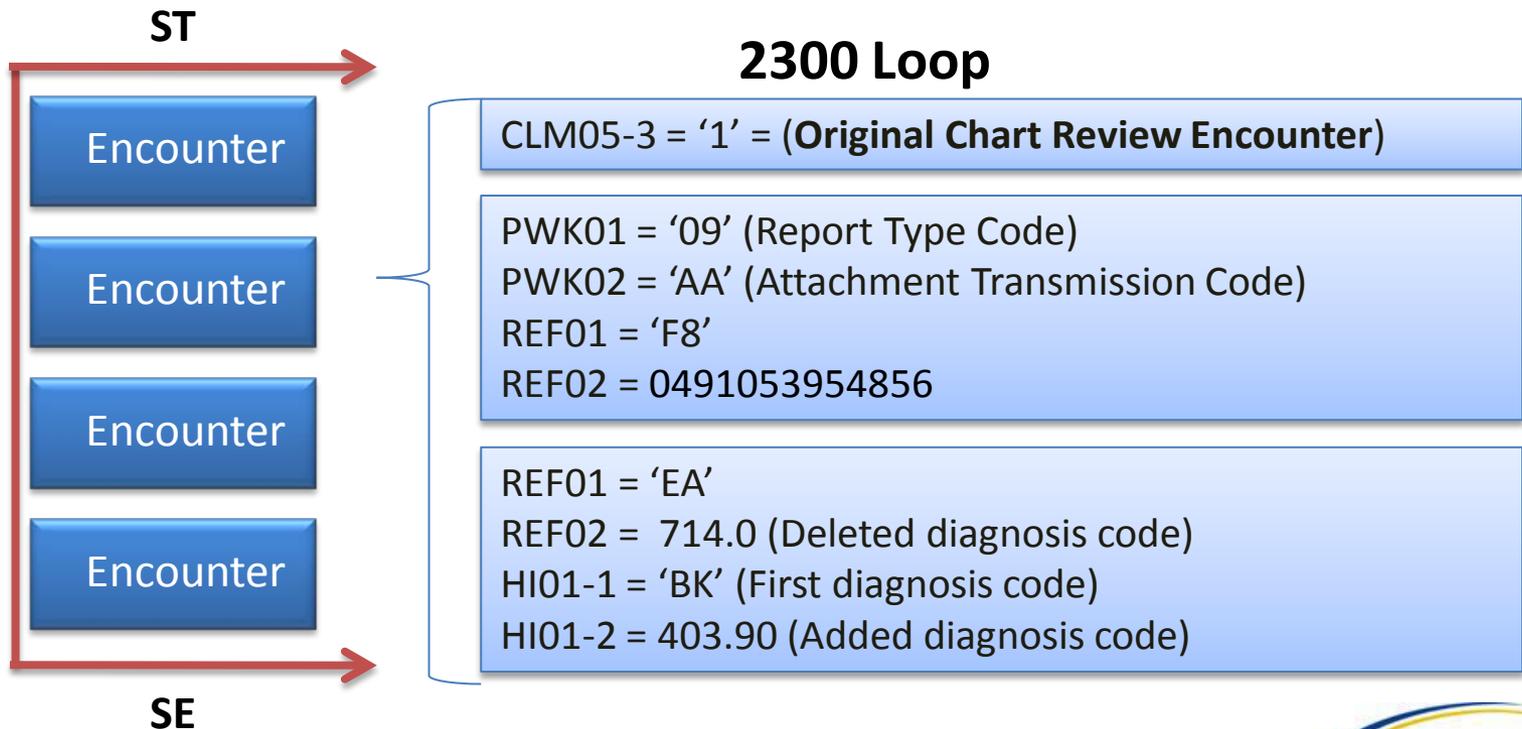


Chart Review

Correct/Replace Chart Review

- To correct/replace a chart review encounter with another chart review encounter, include the following data as well as the minimum data elements:

Loop 2300

CLM05-3 = '7' = Correct/Replace

PWK01 = '09'

PWK02 = 'AA'

REF01 = 'F8'

REF02 = ICN from accepted and stored chart review encounter

Note: *Correct/Replace chart review encounters may only correct or replace other previously submitted and accepted chart review encounters and must not be submitted to correct or replace full encounters.*

Chart Review Scenario

Correct/Replace

- A-One Health Plan submitted a chart review and received ICN 4510502876874. They performed a second chart review and discovered that another diagnosis code was not included when the original chart review was submitted.

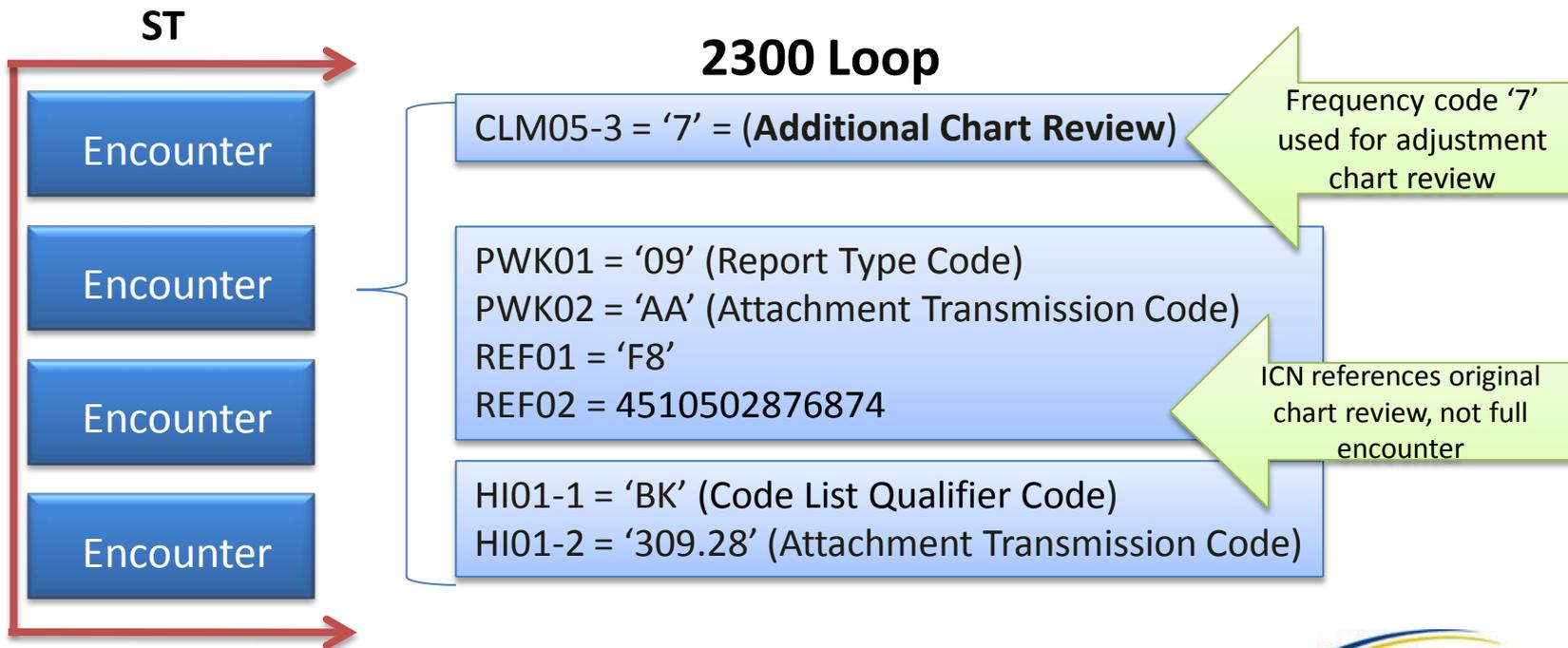


Chart Review EDPS Edits

- The following edits will be received on the MAO-002 Reports when a chart review correct/replace or void/delete encounter is submitted and the ICN referenced is not a chart review encounter:
 - **Edit 00764** - Reject – Original Encounter Must Be Chart Review Encounter for Void
 - **Edit 00765** - Reject – Original Encounter Must be Chart Review Encounter for Adjustment
- A chart review (correct/replace or void/delete) can only correct/replace or void/delete another chart review.

Questions & Answers

Guidance for New 2013 MAOs and Other Entities

EDPS

Acknowledgement Reports

MAO-001 and MAO-002

EDPS Reports Overview

- After a file is accepted through the Encounter Data Front End System (EDFES), the file is transmitted to the Encounter Data Processing System (EDPS) where further editing, processing, pricing, and storage occur.
- MAO status reports are generated as a result of EDPS editing:
 - MAO-001 – Encounter Data Duplicates Report
 - MAO-002 – Encounter Data Processing Status Report

EDPS Reports

MAO-001 Reports

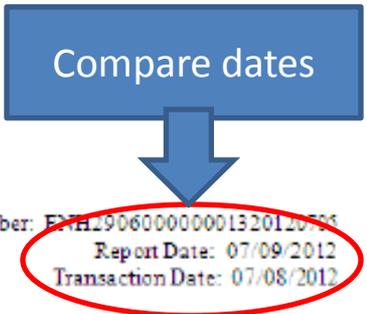
- The MAO-001 Encounter Data Duplicates Report provides information exclusively for rejected encounters and service lines that receive edit 98325 – Service Line(s) Duplicated.
- Edit 98325 is also reported on the MAO-002 Encounter Data Processing Status Report.

EDPS Reports

MAO-001 Reports (cont'd.)

Reading an MAO-001 Report

Encounter Data Duplicates Report
 Report Run Date 07/09/2012 12:51 PM
 Medicare Advantage Contract ID: H9999
 PROD



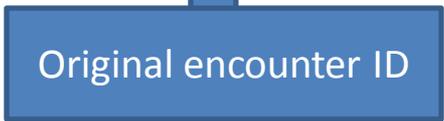
Page 1

Submission Interchange Number: F0H29060000000132012001

Report ID: MAO-001

Report Date: 07/09/2012
Transaction Date: 07/08/2012

Record Type	Plan Encounter ID (CCN)	Encounter ICN	Encounter Line Number	Duplicate Plan Encounter ID (CCN)	Duplicate Encounter ICN	Duplicate Encounter Line Number	Beneficiary HICN	Date of Service
PRO	231181789	2509061539016	001	222186298	2509061539028	001	567185299	06/15/2012
PRO	231181790	2509061539013	002	222186298	2509061539047	002	567186299	06/15/2012



TOTALS :

Total Number of Duplicate Encounter Lines Rejected: 2
 Total Number of Encounter Lines Submitted: 2
 Total Number of Encounter Records Submitted: 2

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EDPS Reports

MAO-002 Reports

- The MAO-002 Encounter Data Processing Status Report provides encounter and service line level information.
- The MAO-002 Report will identify both the encounter and service line level disposition statuses:
 - “Accepted”
 - “Rejected”
- Lines that reflect a status of “accept”, yet contain an error message in the Edit Description column, are considered “informational” edits.

EDPS Reports

MAO-002 Reports (cont'd.)

- The '000' line on the MAO-002 Report identifies the header level and indicates either "Accepted" or "Rejected" status.
 - If the '000' header line is "Rejected", the encounter is considered rejected and MAOs and other entities must correct and resubmit the encounter.
 - If the '000' header line is "Accepted" and at least one (1) other line (i.e., 001 002 003 004) is accepted, then the overall encounter is accepted.

EDPS Reports

MAO-002 Reports (cont'd.)

Encounter Data Processing Status Report
 Report Run Date 03/07/2013 12:58PM
 Medicare Advantage Contract ID: H9999

Page 1

Submission Interchange Number: ENC000000000000120130305

Report ID: MAO-002

Report Date: 03/04/2013

Transaction Date: 03/05/2013

Record Type	Plan Encounter ID (CCN)	Encounter ICN	Encounter Line Number	Encounter Status	Error	Error Description
837P	XXXXXXXXXX000001	E0101010101010101	000	Rejected	-	-
			001	Rejected	98325	Service Line(s) Duplicated
			002	Rejected	98325	Service Line(s) Duplicated
837P	XXXXXXXXXX000002	E0202020202020202	000	Accepted	-	-
			001	Accepted	-	-
			002	Accepted	-	-

TOTALS:

Total Processing Errors:	2		
Total Number of Encounter Lines Accepted :	2	Total Number of Encounter Records Accepted :	1
Total Number of Encounter Lines Rejected :	2	Total Number of Encounter Records Rejected :	1
Total Number of Encounter Lines Submitted:	4	Total Number of Encounter Records Submitted:	2

Encounter Status

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EDPS Reports

MAO-002 Reports (cont'd.)

Encounter Data Processing Status Report
 Report Run Date 03/07/2013 12:58PM
 Medicare Advantage Contract ID: H9999

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Submission Interchange Number: ENC000000000000120130305

Report ID: MAO-002

Report Date: 03/04/2013

Transaction Date: 03/05/2013

Record Type	Plan Encounter ID (CCN)	Encounter ICN	Encounter Line Number	Encounter Status	Error	Error Description
837P	XXXXXXXXXX00001	E0101010101010101	000	Rejected	-	-
			001	Rejected	98325	Service Line(s) Duplicated
			002	Rejected	98325	Service Line(s) Duplicated
837P	XXXXXXXXXX00002	E0202020202020202	000	Accepted	-	-
			001	Accepted	-	-
			002	Accepted	-	-

Duplicates reported

TOTALS:

Total Processing Errors:	2		
Total Number of Encounter Lines Accepted :	2	Total Number of Encounter Records Accepted :	1
Total Number of Encounter Lines Rejected :	2	Total Number of Encounter Records Rejected :	1
Total Number of Encounter Lines Submitted:	4	Total Number of Encounter Records Submitted:	2

User Group

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EDS Companion Guides Updates

EDS Companion Guides

- The March Release of the EDS Companion Guides will be posted on the CSSC Operations website:
<http://www.csscoperations.com/internet/cssc3.nsf/docsCat/CSSC~CSSC%20Operations~Encounter%20Data~Companion%20Guides?open&expand=1&navmenu=Encounter^Data||>
- March Release Updates:
 - EDPS Enhancement Implementation Updates
 - EDPS Edits Prevention and Resolution Strategies – Phase III
 - Proxy Data Reason Codes Operational Guidance

EDS Implementation Next Steps

EDS Implementation Next Steps

ED Materials / Information	Target Date
<p>Deadline for New 2013 MAOs and Other Entities to Submit EDI Agreement</p> <p><i>Note: MAOs and other entities that have not submitted EDI Agreements and Submitter Applications by this date are considered non-compliant.</i></p>	February 15, 2013
<p>PACE Certification Deadline</p> <p><i>Note: PACE organizations that have not achieved end-to-end certification by this date are considered non-compliant.</i></p>	February 28, 2013
EDS User Group	March 21, 2013
EDS Companion Guides	March 28, 2013
Getting Started Webinar Series	April 22, 2013

Questions & Answers

Resources

Resources

Resource	Resource Link
CEM/CEDI Technical Reporting Formats	http://www.cms.gov/MFFS5010D0/20_TechnicalDocumentation.asp
Centers for Medicare & Medicaid Services (CMS)	http://www.cms.gov/
CSSC Operations	http://www.csscooperations.com csscooperations@palmettogba.com
EDS Inbox	eds@ardx.net
Technical Assistance Registration Service Center (TARSC)	http://www.tarsc.info/
X12 Version 5010 Standards	https://www.cms.gov/Regulations-and-Guidance/HIPAA-Administrative-Simplification/Versions5010andD0/index.html?redirect=/Versions5010andD0/
Washington Publishing Company	http://www.wpc-edi.com/content/view/817/1

Closing Remarks