

Encounter Data System User Group

March 21, 2013

Agenda

- Purpose
- Session Guidelines
- CMS Updates
- EDS Updates
 - EDS Known Issues
 - EDS Edits
- EDS Operational Highlights
- Proxy Data
- Guidance for New 2013 MAOs and Other Entities
 - Minimum Data Elements
 - Special Considerations
- EDS Implementation Next Steps
- Closing Remarks

Purpose

- To provide Medicare Advantage Organizations (MAOs) and other entities that are currently submitting encounter data with operational guidance on testing and submitting production data to the Encounter Data System (EDS).
- To provide new 2013 MAOs and other entities with resources and operational guidance related to certification and submission of encounter data to the EDS.
- To provide Medicare Advantage Organizations (MAOs) and other entities information on EDS policy.

MAOs and Other Entities

- CMS requires the following types of organizations to submit encounter data:
 - Medicare Advantage (MA) Plans
 - Medicare Advantage-Prescription Drug (MA-PD) Plans
 - Health Maintenance Organizations (HMOs)
 - Special Needs Plans (SNPs)
 - Local Preferred Provider Organizations (PPOs)
 - Regional PPOs
 - Employer Group Health Plans
 - Programs of All-Inclusive Care for the Elderly (PACE) Plans
 - Cost Plans (1876 Cost HMOs/CMPs and 1833 HCPPs)
 - Medical Savings Account (MSA) Plans
 - Private Fee-for-Service (PFFS) Plans
 - Religious Fraternal Benefit (RFB) Plans
 - Provider Sponsored Organizations (PSOs)

Session Guidelines

- This is a one (1) hour Encounter Data User Group session.
- The first portion of this session will address updates for the EDS.
- The second portion of this session will address guidance for new 2013 MAOs and other entities.
- If time allows, the Encounter Data team will facilitate two (2) Q&A periods.

CMS Updates

2012 End-to-End Certification Status

- 549 MAOs and other entities

– **537 certified**

*DME certification deadline:
August 31, 2012*

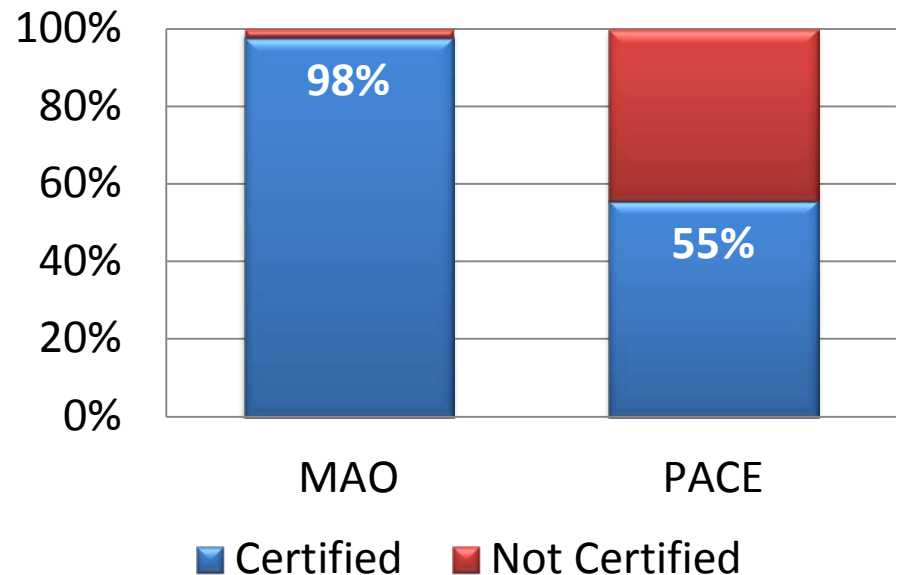
- 89 PACE Organizations

– **49 certified**

*PACE certification deadline:
February 28, 2013*

Note: Those submitters that do not meet the requirements for end-to-end certification will receive written communication.

End-to-End Certification Status as of March 19, 2013



End-to-End Certification Status for New 2013 MAOs and Other Entities

- 37 new MAOs and other entities
 - 34 certified

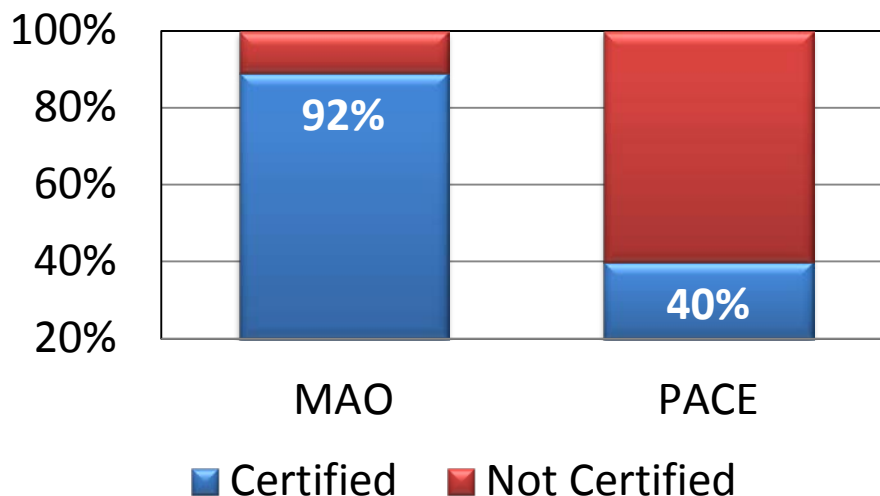
*DME certification deadline:
August 31, 2012*

- 5 new PACE Organizations
 - 2 certified

*PACE certification deadline:
February 28, 2013*

Note: MAOs and other entities that have not submitted EDI Agreements and Submitter Applications by February 15, 2013 are considered non-compliant.

End-to-End Certification Status as of March 19, 2013



MAO-002 Reports Update

- The EDPS is currently processing MAO-002 Reports for data received on March 15, 2013.
- If you have not received your MAO-002 Reports for files submitted prior to March 15, 2013; or if you have experienced a delay in your test file MAO-002 reports, please contact CSSC Operations at (877) 534-2772, with your file information, for assistance.

Encounter Submissions for Capitated Services

- Analysis of encounter data submissions shows a less than expected submission volume of capitated services to the EDPS.
- MAOs and other entities in a capitated provider agreement are required to submit encounters for all capitated services.
- MAOs and other entities should refer to the Minimum Data Elements (MDE) document for submission guidance, located on the CSSC Operations website at <http://www.csscoperations.com> in the 'Resources' section.

EDS Updates

EDS

Known Issues

EDPS Known Issues

- Two (2) Known Issues are currently pending resolution:

Edit #	Edit Description	Target Resolution Date
03102	Provider Type or Specialty Not Allowed to Bill Procedure <ul style="list-style-type: none">• <i>Final resolution will cause Edit 03102 to reflect a "Reject" status.</i>	TBD
22220	DOS Prior to Provider Effective Date <ul style="list-style-type: none">• <i>EDS is conducting a root cause analysis. Resolution is pending.</i>	TBD

EDS Edits

EDPS Edits

- *Effective March 29, 2013*, when the gender submitted on the encounter is different from the gender stored in the Common Medicare Environment (CME) tables, MAOs and other entities will receive the following reject edit:
 - **Edit 02120** – Beneficiary Gender Mismatch

EDS Operational Highlights

Highlight #1

ZIP Code +4 Default Value

- *Effective May 3, 2013*, MAOs and other entities must populate **'9998'** as the +4 default value.
- MAO and other entities may begin to populate the '9998' default +4 value sooner than May 3, 2013, but no later in order to avoid receiving errors.

Highlight #2

EDS File Limitations

- At this time, CMS is not changing file size restrictions.
- CMS recommends that ISA/IEA transaction sets not exceed 5,000 encounters, as the EDS processes smaller files more efficiently than larger files.
- This submission recommendation is applicable for all connectivity methods:
 - FTP
 - NDM
 - Gentran/TIBCO

Highlight #2

EDS File Limitations (cont'd)

- CMS recommends that FTP submitters upload no more than one (1) file per five (5)-minute interval.
- MAOs and other entities should refrain from submitting multiple files within the same transmission.
- NDM and Gentrans/TIBCO users may submit a maximum of 255 files per day.

Highlight #3

EDS Question Submissions

- All questions related to EDS reports and edits should be submitted directly to CSSC Operations at (877) 534-2772 or csscoperations@palmettogba.com.

Highlight #4

PACE Submission

- The PACE certification deadline was February 28, 2013 for MAOs and other entities effective prior to 2013.
- PACE organizations are required to begin submitting 2013 DOS production data once they have achieved end-to-end certification. Production data includes:
 - Institutional, Professional, and DME encounters for services for which claims are generated or for which encounter data is otherwise available.
- PACE organizations are not required to submit encounters for services provided to PACE participants by PACE staff.
- CMS will continue discussions regarding submission of PACE services provided to PACE participants by PACE staff.

Proxy Data

True Coordination of Benefits (COB) Adjudication Data

- MAOs and other entities may populate Loop 2430 DTP*573 with the MAO or other entity's receipt date minus one (1) day as the default primary payer adjudication date **only** in the instance that the primary payer adjudication date is not available.

Loop 2430 – Other Payer Information

SVD*H9999*100.50*HC:81099*0300*1~

CAS*CO*24*-100.50~

DTP*573*D8*20130821~

MAO or other entity received claim on 08/22/2013

Proxy Data Reason Codes (PDRC)

Operational Guidance

PROXY DATA	PROXY DATA MESSAGE (NTE02)	PROXY DATA REASON CODE
Rejected Line Extraction	REJECTED LINES CLAIM CHANGE DUE TO REJECTED LINE EXTRACTION	036
Medicaid Service Line Extraction	MEDICAID CLAIM CHANGE DUE TO MEDICAID SERVICE LINE EXTRACTION	040
EDS Acceptable Anesthesia Modifier	MODIFIER CLAIM CHANGE DUE TO EDS ACCEPTABLE ANESTHESIA MODIFIER	044
Default NPI for atypical, paper, and 4010 claims	NO NPI ON PROVIDER CLAIM	048
Default EIN for atypical providers	NO EIN ON PROVIDER CLAIM	052
Chart Review Default Procedure Codes	DEFAULT PROCEDURE CODES INCLUDED IN CHART REVIEW	056
True COB Default Adjudication Date	DEFAULT TRUE COB PAYMENT ADJUDICATION DATE	060

Proxy Data Reason Codes (PDRC)

Operational Guidance (cont'd.)

- Multiple PDRCs will be populated in a stringed sequence with no separators (i.e., 036040048).

036 - REJECTED LINES CLAIM CHANGE DUE TO REJECTED LINE EXTRACTION

048 - NO NPI ON PROVIDER CLAIM

```
CLM*2957677856419709654A*0.00***11:B:1*Y*A*Y*Y~  
NTE*ADD*036040048~  
HI*BK:4475~  
SBR*P*18*XY7134567*****16~
```

Use PDRC in the NTE02 if there is no provider information already populated.

040 - MEDICAID CLAIM CHANGE DUE TO MEDICAID SERVICE LINE EXTRACTION

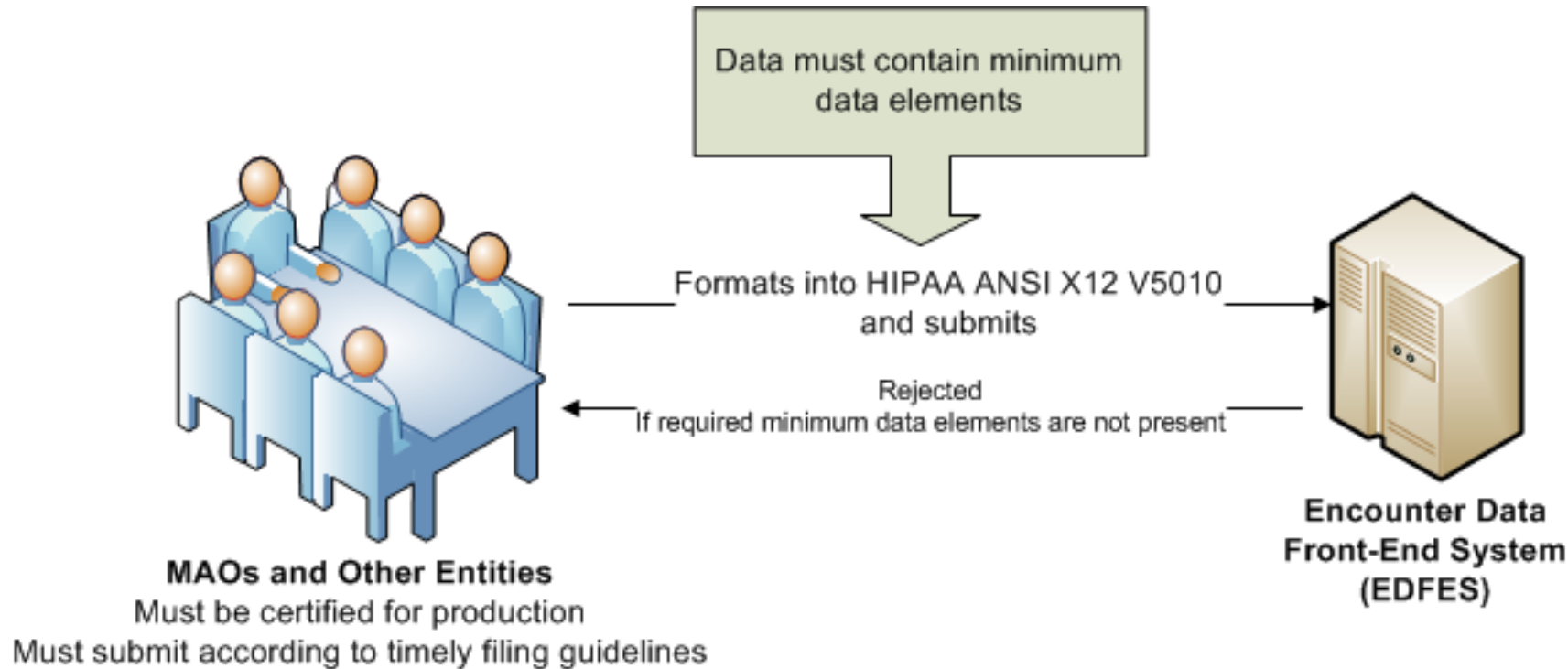
Note: CMS is considering options for the population of PDRCs when a provider note is already populated.

Questions & Answers

Guidance for New 2013 MAOs and Other Entities

Minimum Data Elements

Minimum Data Elements (MDE)



Note: MAOs and other entities must continue to reference the TR3, CEM Edits Spreadsheets, and EDS Companion Guides for submission guidance.

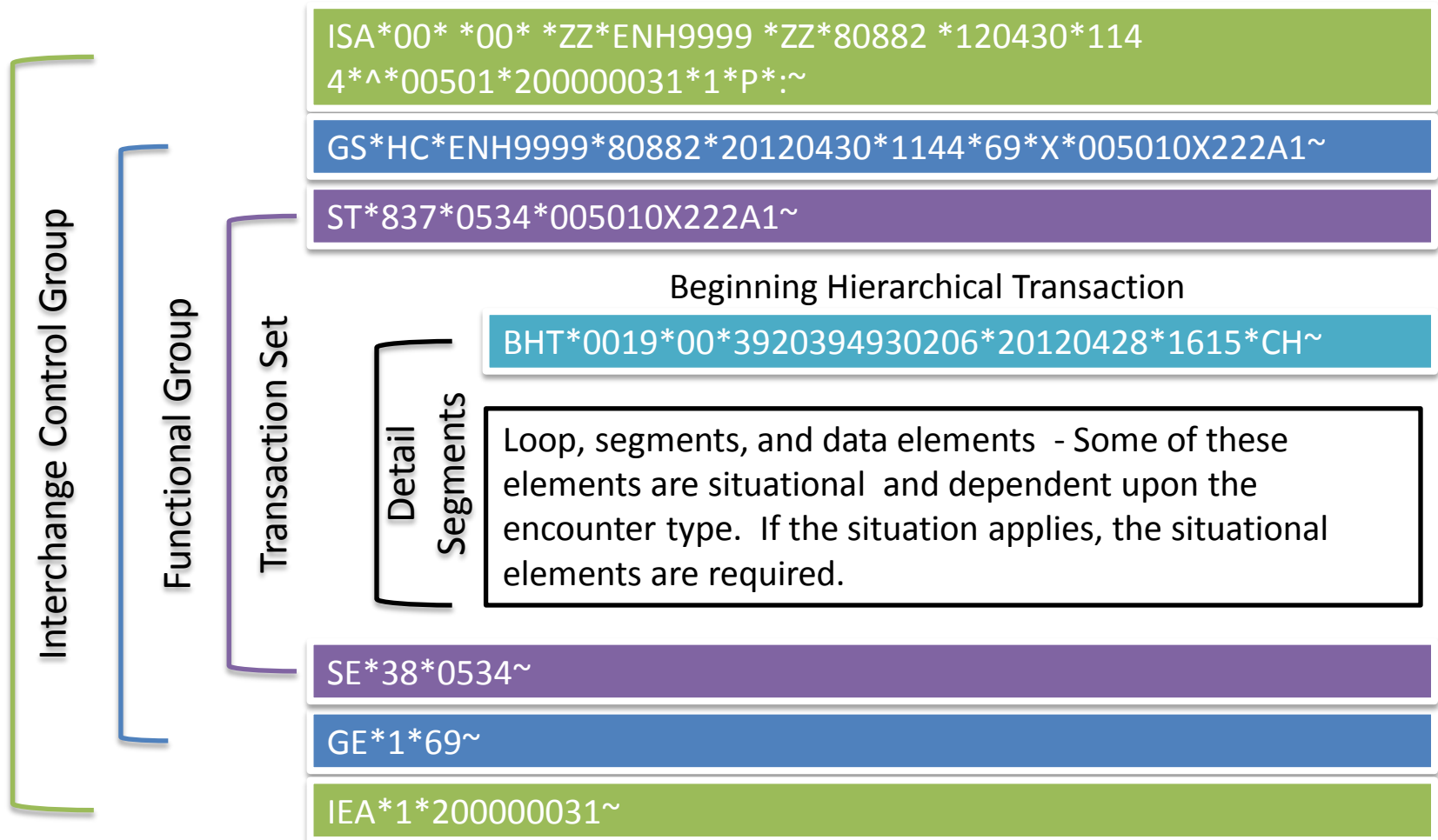
Minimum Data Elements (cont'd.)

- The MDE include the minimum list of file header and trailer level data elements.
 - This list is not inclusive of all situational loops, segments, and data elements.
 - MAOs and other entities must refer to the 837-I and 837-P TR3 and CEM edits spreadsheet to determine the correct usage of situational fields.
- The 837 contains fields that are specific to the electronic submission of a file. If these data elements are not populated, the file will not pass translator and CEM level edits.

Minimum Data Elements (cont'd.)

REFERENCE	REFERENCE DESCRIPTION
ISA01 – ISA16	Interchange Control Header
GS01 – GS08	Functional Group Header
ST01 – ST03	Transaction Set Header
BHT01 – BHT06	Beginning of Hierarchical Transaction
SE01 – SE02	Transaction Set Trailer
GE01 – GE02	Functional Group Trailer
IEA01 – IEA02	Interchange Control Trailer

Minimum Data Elements Example



Loop, segments, and data elements - Some of these elements are situational and dependent upon the encounter type. If the situation applies, the situational elements are required.

Special Considerations

Special Considerations – Ambulance

Professional Submission

If the true ambulance pick-up and drop-off locations are available from the provider, MAOs and other entities must include at least minimum data elements and the following:

Loop 2310E – Ambulance Pick-Up Location

Loop 2310F – Ambulance Drop-Off Location

Detail
Segments

NM1*PW*2~
N3*123 MAIN STREET~
N4*NORFOLK*VA*269549999~

Loop 2310E

NM1*45*2~
N3*456 SECOND STREET~
N4*NORFOLK*VA*269549999~

Loop 2310F

Special Considerations – Ambulance (cont'd.)

Professional Submission

If the true ambulance pick-up and drop-off locations are **not** available from the provider, MAOs and other entities must include minimum data elements and the following using the Billing Provider's address:

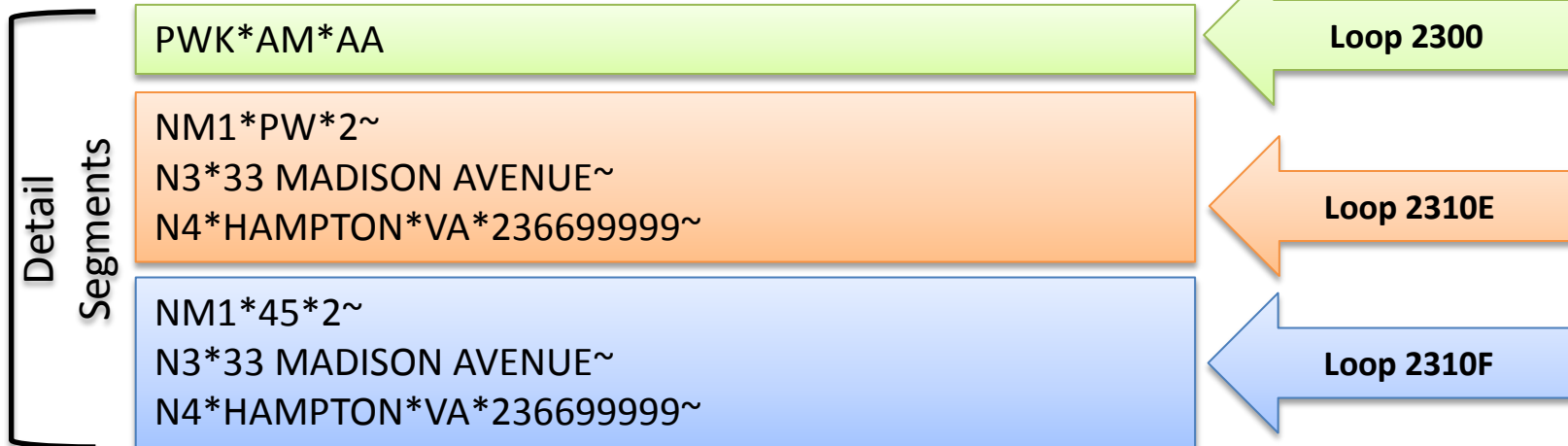
Loop 2300 – Claim Information

PWK01 = 'AM' (Ambulance Certification)

PWK02 = 'AA' (Available on Request at Provider Site)

Loop 2310E – Ambulance Pick-Up Location

Loop 2310F – Ambulance Drop-Off Location



Note: If the Rendering Provider is different than the Billing Provider, MAOs and other entities should populate the Rendering Provider's address in Loops 2310E and 2310F.

Special Considerations – Ambulance (cont'd.)

Institutional Submission

MAOs and other entities must include the minimum data elements, as well as the ambulance pick-up ZIP code, according to the following guidance:

Loop 2300 – Claim Information

HI01-1 = 'BE' (Value Qualifier)

HI01-2 = 'AO' (Value Code)

HI01-5 = ZIP Code + 4, when available (Value Code Amount)

Loop 2400 – Service Line Information

SV201 – Service Line Revenue Code

Detail Segments

HI*BE:AO::121219999.8~

Loop 2300

SV2*0300*HC:A0425*23*UN*1~

Loop 2400

If the true ambulance pick-up ZIP code is **not** available, MAOs and other entities should use the Billing Provider's ZIP code. If the Rendering Provider is different than the Billing Provider, MAOs and other entities should use the Rendering Provider's ZIP code.

EDS Implementation Next Steps

EDS Implementation Next Steps

ED Materials / Information	Target Date
EDS Companion Guides	March 28, 2013
EDS User Group	April 4, 2013
Getting Started Webinar Series	April 22, 2013

Questions & Answers

Resources

Resources

Resource	Resource Link
CEM/CEDI Technical Reporting Formats	http://www.cms.gov/MFFS5010D0/20_TechnicalDocumentation.asp
Centers for Medicare & Medicaid Services (CMS)	http://www.cms.gov/
CSSC Operations	http://www.csscooperations.com csscooperations@palmettogba.com
EDS Inbox	eds@ardx.net
Technical Assistance Registration Service Center (TARSC)	http://www.tarsc.info/
X12 Version 5010 Standards	https://www.cms.gov/Regulations-and-Guidance/HIPAA-Administrative-Simplification/Versions5010andD0/index.html?redirect=/Versions5010andD0/
Washington Publishing Company	http://www.wpc-edi.com/content/view/817/1

Closing Remarks