Encounter Data System User Group

January 10, 2013

Agenda

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Introduction

The purpose of this session is to provide Medicare Advantage Organizations (MAOs) and other entities with information on policy and operational guidance on testing and submitting production data to the Encounter Data System (EDS).

MAOs and Other Entities

- CMS requires the following types of organizations to submit encounter data:
  - Medicare Advantage (MA) Plans
  - Medicare Advantage-Prescription Drug (MA-PD) Plans
  - Health Maintenance Organizations (HMOs)
  - Special Needs Plans (SNPs)
  - Local Preferred Provider Organizations (PPOs)
  - Regional PPOs
  - Employer Group Health Plans
  - Programs of All-Inclusive Care for the Elderly (PACE) Plans
  - Cost Plans (1876 Cost HMOs/CMPs and 1833 HCPPs)
  - Medical Savings Account (MSA) Plans
  - Private Fee-for-Service (PFFS) Plans
  - Religious Fraternal Benefit (RFB) Plans
  - Provider Sponsored Organizations (PSOs)

Session Guidelines

- This is a one (1) hour Encounter Data User Group session.
- If time allows, the Encounter Data team will respond to questions.

CMS Updates

End-to-End Certification Status

- Of the 219 total submitter IDs that were actively enrolled for EDS submission in 2012, the following submitters have achieved end-to-end certification:

Certification Status as of January 8, 2013

EDPS Submission Type	# of MAO Submitters Certified	# of PACE Submitters Certified
Professional	**202**	**6**
Institutional	**192**	**8**
DME	**183**	**6**

End-to-End Certification Status (cont'd.)

- Of the new submitters that will begin encounter data submission for 2013 DOS, the following have achieved end-to-end certification:

Certification Status as of January 8, 2013

New Submitters for 2013		
EDPS Submission Type	**# of MAO Submitters Certified**	**# of PACE Submitters Certified**
Professional	**10**	**1**
Institutional	**10**	**1**
DME	**10**	**1**

EDS Reports Update

- Certain MAOs and other entities have experienced delays in receipt of EDFES Acknowledgement Reports due to an interruption in FFS CEM processing.
  - CSSC has submitted a request to CMS to determine the root cause of this interruption.
- CMS previously notified MAOs and other entities that the EDS will undergo enhancements to optimize the EDPS performance.
  - Submitters will experience some delays in receipt of MAO-002 reports during these enhancements.
  - These delays will decrease as enhancements are implemented.

EDS Updates

EDFES
Invalid Response Reports – Special Notifications

EDFES Invalid Response Reports – Special Notifications

- The EDFES distributes special notifications to submitters when encounters have been processed by the EDFES ***but will not proceed to EDPS for further processing***.
- These notifications are distributed to MAOs and other entities, in addition to standard EDFES Acknowledgement Reports, in order to avoid returned, unprocessed files from the EDS.
- MAOs and other entities may submit questions or issues related to Invalid Response Reports to CSSC Operations at (877) 534-2772 or csscoperations@palmettogba.com.

EDFES Invalid Response Reports – Special Notifications (cont'd.)

- An example of the Invalid Response Report format is as follows:

-------FILE NAME:
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX

----FILE CONTROL: XXXXXXXXX

NUMBER OF CLAIMS: 99,999

FILE WAS NOT SENT TO THE EDPS BACK-END PROCESS FOR THE FOLLOWING REASON(S)

XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX

EDFES Invalid Response Reports – Special Notifications (cont'd.)

APPLIES TO	ENCOUNTER TYPE	NOTIFICATION MESSAGE	NOTIFICATION MESSAGE DESCRIPTION
All files submitted	All	DATE OF SERVICE CANNOT BE BEFORE 2011	Date of service cannot be prior to 2011
End-to-End Testing – File 1	All	SUBMITTER NOT FRONT-END CERTIFIED	The submitter must be front-end certified to send encounters for validation
Production files submitted	All	SUBMITTER NOT CERTIFIED FOR PRODUCTION	The submitter must be certified to send encounters for production
Production files submitted	All	THE INTERCHANGE USAGE INDICATOR MUST EQUAL 'T'	The Professional Tier 2 file is being sent with a 'P' in the ISA15 field
Tier 2 file submitted	All	PLAN (CONTRACT ID) HAS (X,XXX) CLAIMS IN THIS FILE. ONLY 2,000 ARE ALLOWED	The number of encounters for a Contract ID cannot be greater than 2,000

EDFES Invalid Response Reports – Special Notifications (cont'd.)

APPLIES TO	ENCOUNTER TYPE	NOTIFICATION MESSAGE	NOTIFICATION MESSAGE DESCRIPTION
Professional End-to-End Testing – File 1 **Professional End-to-End Testing – Additional File(s)**	Professional	FILE CANNOT CONTAIN MORE THAN 38 ENCOUNTERS	The number of encounters cannot be greater than 38
PACE End-to-End Testing – File 1 **PACE End-to-End Testing – Additional File(s)**	PACE Professional	FILE CANNOT CONTAIN MORE THAN 16 ENCOUNTERS	The number of encounters cannot be greater than 16
End-to-End Testing – File 1 **End-to-End Testing – Additional File(s)**	All	PATIENT CONTROL NUMBER IS MORE THAN 20 CHARACTERS LONG THE TC# WAS TRUNCATED	The Claim Control Number, including the Test Case Number, must not exceed 20 characters
End-to-End Testing – File 1 **End-to-End Testing – Additional File(s)**	Professional, Institutional, PACE Professional, PACE Institutional	FILE CANNOT CONTAIN BOTH UNLINKED AND LINKED TEST CASES	The test cases from File 1 and File 2 cannot be in the same file

EDFES Invalid Response Reports – Special Notifications (cont'd.)

APPLIES TO	ENCOUNTER TYPE	NOTIFICATION MESSAGE	NOTIFICATION MESSAGE DESCRIPTION
End-to-End Testing – File 1 **End-to-End Testing – Additional File(s)**	Professional, Institutional, PACE Professional, PACE Institutional	CANNOT SEND LINKED TEST CASES UNTIL ALL UNLINKED TEST CASES HAVE BEEN ACCEPTED	The test cases for File 2 cannot be sent before all File 1 test cases are accepted
End-to-End Testing – File 1	All	FILE CONTAINS (X) TEST CASE (X) ENCOUNTER(S)	The file must contain two (2) of each test case
End-to-End Testing – Additional File(s)	All	ADDITIONAL FILES CANNOT BE VALIDATED UNTIL AN MAO-002 REPORT HAS BEEN RECEIVED	The MAO-002 report must be received before additional files can be submitted

EDS
Known Issues
and Incident Tracking

EDPS Known Issues

Error Code	Error Code Description	Target Resolution Date
00699	Void Submission Must Match Original Encounter	1/28/2013
00755	Claim to be Voided is Already Voided	1/28/2013
00760	Claim Adjustment is Already Adjusted or Adjustment is in Progress	1/28/2013
00761	Unable To Void Due To Different Billing Provider On Void From Original	1/28/2013

Note: These edits are related to a required system enhancement for Correct/Replace and Void/Delete (adjustment) encounters.

EDPS Known Issues (cont'd.)

Error Code	Error Code Description	Target Resolution Date
03102	Provider Type or Specialty Not Allowed to Bill Procedure (Interim Resolution – Edit currently reflects an "Informational" status. Final resolution will cause Edit 03102 to reflect a "Reject" status.)	Final Resolution TBD
17050	Type of Bill - Conflict with Occurrence Codes	1/28/2013
17735	Modifier - Not Within Effective Date	1/28/2013
32005	Payer ID not DME for DMEMAC Jurisdiction	1/28/2013
32035	Place of service is 11, 12, or 23 for DME Encounter	1/28/2013

EDPS Incident Tracking

- Of the 401 incidents previously submitted to the EDS Incident Tracking Tool, three (3) are currently pending resolution.
  - These pending incidents are directly related to Known Issues and will be resolved with the January maintenance release.

Reminder

- All incidents and questions related to EDS reports and edits should be submitted directly to CSSC Operations at (877) 534-2772 or csscoperations@palmettogba.com.

EDS Edits

EDFES Edits

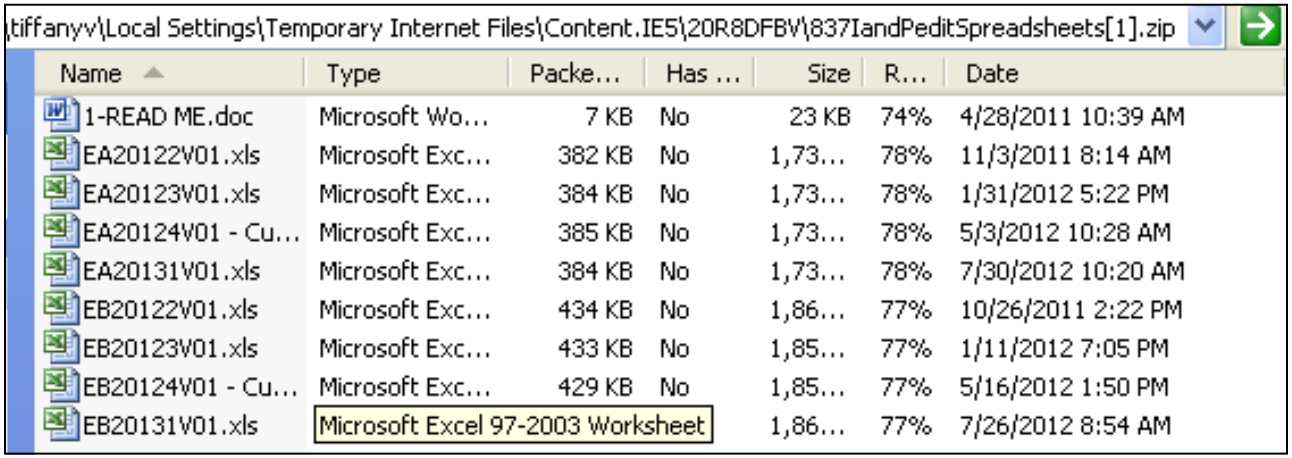
- The CEM Institutional and Professional Edits Spreadsheets are updated quarterly and posted on the CMS website at: https://www.cms.gov/Medicare/Billing/MFFS5010D0/Technical-Documentation.html

Downloads

Professional Claim 837-P 4010A1 to 5010A1 Side by Side [PDF, 1MB]
Institutional Claim 837-I 4010A1 to 5010A2 Side by Side [PDF, 1MB]
Remittance 835 4010A1 to 5010A1 Side by Side [PDF, 335KB]
Claim Status 276/277 4010A1 to 5010 Side by Side [PDF, 178KB]
Eligibility 270/271 4010A1 to 5010A1 Side by Side [PDF, 760KB]
NCPDP 5.1 to D.0 Side By Side [PDF, 27KB]
5010 Institutional and Professional Edits spread sheets [ZIP, 3MB]
5010 Fee-for-Service Flat Files [ZIP, 481KB]
5010 Examples - 837, 835, TA1, 999, 277CA [ZIP, 264KB]

EDFES Edits (cont'd.)

- The "1-Read Me.doc" file provides the release schedule and instructions for determining how to read the CEM Edits Spreadsheets.



- MAOs and other entities should access the quarterly CEM Edits Spreadsheets according to this schedule to ensure appropriate and timely updates to their internal programming systems.

EDFES Edits (cont'd)

- The January 2013 FFS CEM quarterly release will include new EDFES edits to reject encounters with multiple Primary Payer Paid Amount Segments (AMT01 = D) when true COB encounters are submitted.
- Effective January 11, 2013, EDS will deactivate the following edits to allow for submission of tertiary (true COB) encounters:
  - X223.364.2320.AMT.040 (Part A-Institutional)
    - If 2000B.SBR01 = "S" then only one iteration of 2320 loop containing an AMT with AMT01 equal to 'D' is allowed.
  - X222.305.2320.AMT.040 (Part B-Professional)
    - If 2000B.SBR01 = "S" then only one iteration of 2320 loop containing an AMT with AMT01 equal to 'D' is allowed.

EDFES Edits (cont'd.)

- The January 2013 FFS CEM quarterly release also includes a new EDFES edit to reject encounters populated and submitted with an Insured Group or Policy Number that was implemented early.
- Effective January 14, 2013, the EDS will deactivate the following edit:
  - X223.109.2000B.SBR03.004 (Part A-Institutional)

999E error code:	277CA error codes:
IK403 = I13: "Implementation Dependent "Not Used" Data Element Present"	CSCC A8: "Acknowledgement/Rejected for relational field in error" CSC 163: "Entity's Policy Number" CSC 732 "Information submitted inconsistent with billing guidelines." EIC: IL "Subscriber"

EDPS Edits

- Edit 03101 – Invalid Gender for Procedure Code
  - Disposition status of this edit will be changed from "reject" to "informational".
  - CMS will notify MAOs and other entities when this enhancement is implemented.
- Edit 32001 – Bill Type Not Implemented for Processing at This Time
  - This edit has been deactivated.
  - Effective immediately, MAOs and other entities may submit all valid bill types for Institutional encounter data.

EDPS Edits

- Several MAOs and other entities were receiving the following EDPS edits due to defects in the Member table indexes.
  - Edit 02110 - Beneficiary HICN Not on File
  - Edit 02255 - Beneficiary Not Part A Eligible for DOS
- Member tables were corrected on January 5, 2013.
- EDPS is currently reprocessing associated files and redistributing MAO-002 reports.
- CSSC is contacting Submitters affected by the defect.

2011 DOS Submission

2011 DOS Submission

- Beginning January 10, 2013, MAOs and other entities may begin submitting encounters containing 2011 “from” DOS.
  - Encounters must contain 2012 “through” DOS.
  - Encounters that contain 2011 “from” AND “through” DOS will not be accepted.
- CMS will consider the effects that the delayed submission may have on the Catch-up Production data deadlines.

Timely Filing for 2012 DOS

Timely Filing for 2012 DOS

- MAOs and other entities must submit adjudicated encounters for 2012 DOS to the EDS according to the 13-month timely filing guidance:

Submission Type	Timely Filing Deadline
Full Encounters	13 months from the DOS • Institutional – "Through" DOS • Professional – Service Line DOS
Adjustment Encounters	30 days after the adjudication date
Chart Review Encounters	Within 25 months of the data collection period

Ambulance Services – Mileage

Ambulance Services – Mileage

- When submitting encounters for Ambulance services, MAOs and other entities should report mileage in fractional units.
- Fractional mileage units should be rounded to the nearest tenth of a mile.
  - For example:
    - 7.47 miles should be reported as 7.5 miles.
    - 69.32 miles should be reported as 69.3 miles.

EDS Companion Guides Updates

EDS Companion Guides

- The December Release of the EDS Companion Guides are posted on the CSSC Operations website: http://www.csscoperations.com/internet/cssc3.nsf/docsCat/CSSC~CSSC%20Operations~Encounter%20Data~Companion%20Guides?open&expand=1&navmenu=Encounter^Data||
- January Release Updates:
  - EDPS Enhancement Implementation Updates
  - EDPS Edits Prevention and Resolution Strategies – Phase III

EDS Implementation Next Steps

EDS Implementation Next Steps

ED Materials / Information	Target Date
Temporary Deactivation of EDFES Balancing Edits	January 14, 2013
EDS User Group Session	January 24, 2013
EDS Companion Guides – January Release	January 31, 2013
EDS Quarterly Newsletter – 1st Quarter Release	February 2013
PACE DME Certification Deadline	February 28, 2013

EDS Inbox Submissions

Inbox Submission #1

Q: What is the termination date for Tier 2 and Tier 3 testing?

A: Currently there is no projected termination date for Tier 2 testing. Tier 2 testing was designed to allow MAOs and other entities the opportunity to test a large sampling of their data when necessary due to internal system changes or changes within the encounter data. Tier 3 testing was for a specific date span and has since been discontinued.

Inbox Submission #2

Q: Will CMS include anesthesia modifier 'QS' (monitored anesthesia care) to the list of acceptable modifiers?

A: Anesthesia modifier 'QS' is an acceptable modifier; however, it should not be populated in the primary procedure modifier field.

When submitting procedure codes 00100-01999, a primary anesthesia modifier (AA, AD, QK, QX, QY, or QZ) must be populated in the primary procedure modifier field.

Modifier 'QS' should only be reported as an additional modifier (2nd – 4th modifier) in addition to one of the listed primary modifiers.

Inbox Submission #3

Q: Can you confirm if paper generated encounters and EDI encounters are validated using the same edits in the EDFES?

A: All encounter data submissions are validated using the same CEM edits regardless of whether the claim was originally received in a paper format or EDI. MAOs and other entities must submit at least the Minimum Data Elements to ensure encounters pass EDFES edits.

Inbox Submission #4

Q: Can MAOs and other entities submit 2013 DOS or is there a scheduled date to begin 2013 DOS submission?

A: MAOs and other entities that have obtained end-to-end certification may submit encounters with 2013 DOS as they are received and adjudicated.

Note: The EDS experienced a brief period where 2013 DOS were rejecting in the system. This issue has been corrected.

Inbox Submission #5

Q: Should MAOs and other entities populate Loop 2320 AMT02 (payer paid amount) with other carrier amount when the claim is paid by workers' compensation or subrogation?

A: Yes, for all COB encounters, including workers' compensation and subrogation, Loop 2320 AMT02 must be populated.

Questions & Answers

Resources

Resources

- CSSC Operations: http://www.csscoperations.com/
- Technical Assistance Registration Service Center (TARSC): http://www.tarsc.info/
- CMS: http://www.cms.gov/
- EDS Inbox: eds@ardx.net

Resources (cont'd.)

- X12 Version 5010 Standards: https://www.cms.gov/Regulations-and-Guidance/HIPAA-Administrative-Simplification/Versions5010andD0/index.html?redirect=/Versions5010andD0/
- CEM/CEDI Technical Reporting Formats: http://www.cms.gov/MFFS5010D0/20_TechnicalDocumentation.asp
- Washington Publishing Company: http://www.wpc-edi.com/content/view/817/1

Closing Remarks