October 26, 2017
Risk Adjustment for EDS & RAPS User Group
Q&A Documentation
2:00 p.m. – 3:00 p.m.

New Medicare Beneficiary Identifier (MBI)

Q1. **After the transition to the new Medicare Beneficiary Identifier (MBI) on April 2, 2018, can Medicare Advantage Organizations (MAOs) submit deletions for dates of service (DOS) before April 2, 2018, using the Health Insurance Claim Number (HICN)?**

A1. Yes. For both the Encounter Data System (EDS) and Risk Adjustment Processing System (RAPS), plans will be able to submit the HICN on deletes during the MBI transition period. For EDS this can be done for encounter data records and chart review records that were originally submitted using either the HICN or MBI. For RAPS, the HICN must be submitted on delete records when the original record was submitted using the HICN. For further information, please see the December 22, 2017 HPMS memo, "Updates to the Encounter Data System and Risk Adjustment Suite of Systems to Accommodate the New Medicare Card Project."

Q2. **When will CMS issue MBI Implementation Guidance for RAPS and EDS?**

A2. CMS issued MBI Implementation Guidance on December 22, 2017. Please reference the December 22, 2017 HPMS memo, "Updates to the Encounter Data System and Risk Adjustment Suite of Systems to Accommodate the New Medicare Card Project" for guidance on how these systems will implement the MBI initiative.

Model Output Report (MOR)

Q3. **Will CMS release the Payment Year (PY) Model Output Report (MOR) for EDS before the end of 2017?**

A3. The PY2016 final MORs included MOR Record Types that reported HCCs supporting the encounter data portion of the PY2016 risk score; these MORs were released with other October 2017 payment month reports at the end of September 2017. Mid-Year 2018 risk scores will also be a blend of encounter data and RAPS-based risk scores; therefore, the MORs for that payment will also include Record Types with encounter data-based HCCs.

Q4. **When will CMS issue replacement PY 2016 MORs?**

A4. Per the November 29, 2017 HPMS memo, "Technical Issue Concerning the Payment Year (PY) 2016 Final Model Output Reports (MORs)," we have resolved the technical issue that impacted

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the fields in positions 153 to 155 for HCC167, HCC169, and HCC170 in record types "C" and "F." CMS sent the updated 2016 final payment MORs December 28, 2017.

Q5. **Do the blank Hierarchical Condition Codes (HCC) fields on the PY 2016 MOR affect the payment or only the HCC display?**

A5. The technical issue that resulted in blank fields instead of the HCC indicators that should have been populated for the final PY2016 MORs were reporting errors only and did not affect payment.

Risk Score Runs

Q6. **Since CMS is running the PY 2017 Final Reconciliation in January 2018 and the second PY 2016 Final Reconciliation in April 2018, will CMS extend the submission deadline for the PY 2017 Final Reconciliation as this may cause system issues for some plans?**

A6. As announced in the December 20, 2017 HPMS memo, "Phase III Version 3 MAO-004 Report Release Date and Announcement Regarding Final Encounter Data Deadlines for Payment Years 2016 and 2017," CMS will be running an interim final reconciliation for PY 2017 using data submitted by January 31, 2018. We will then run the final reconciliation for PY 2017 at a later date, which we will announce in February 2018.

Q7. **When CMS reruns a payment year, do MAOs receive an updated MOR for the rerun payment year?**

A7. Yes, when CMS runs additional final reconciliations for a payment year, MAOs receive updated MORs.

Q8. **When will the second PY 2016 Final Reconciliation impact payments?**

A8. CMS is in the process of finalizing the run schedules and will release this information in an upcoming HPMS memo.

Q9. **Will CMS reconcile 2016 dates of service before reconciling 2015 dates of service (DOS)?**

A9. As announced in the December 20, 2017 HPMS memo, "Phase III Version 3 MAO-004 Report Release Date and Announcement Regarding Final Encounter Data Deadlines for Payment Years 2016 and 2017," CMS will run PY 2016 (2015 DOS) a third time given the release of updated MAO-004 reports in April 2018 at a later date. In addition, we announced that we will run an interim final reconciliation for PY 2017 (2016 DOS) using data submitted by January 31, 2018, and then run the final reconciliation for PY 2017 (2016 DOS) at a later date. In that memo, we said we will announce these dates in February 2018.

General Questions

Q10. Does the June 20, 2017 HPMS Memo, "RAPS Submission of Data Collection Year Diagnosis Codes" apply to EDS?

A10. The June 20, 2017 HPMS memo applies only to RAPS. However, CMS has since released the December 15, 2017 HPMS memo, "Encounter Data Software Release," which announced that this policy applies to encounter data. Organizations will be able to submit diagnoses on chart review records from a date of service when the beneficiary was in the contract of another parent organization, but not if they were in FFS. Please review this HPMS memo for details on the modification of edits to accommodate this policy.

Q11. How do plans access the CMS 5010 CEM Edits Spreadsheet?

A11. To access the CMS 5010 CEM Edits Spreadsheet, use the following steps:
1. Click on cms.gov/Regulations-and-Guidance/Guidance/Transmittals/
2. Select the year of your choice in the left column, e.g., 2017 Transmittals
3. Key in 'EDI Front End Updates' in the 'Filter On' box
4. Select the Transmittal # of your choice, e.g. R1767OTN
5. Click on the link(s) under 'Downloads' at the bottom of the page

Q12. When will CMS release the PY 2018 ICD-10 to HCC mappings?

A12. The FY 2018 ICD-10 finalized mappings are posted in the "2018 Model Software/ICD-10 Mappings" folder on the risk adjustment website at https://www.cms.gov/Medicare/Health-Plans/MedicareAdvtgSpecRateStats/Risk-Adjustors.

Q13. When will CMS release the MAO-004 Phase 3, Version 3 file format?

A13. The Phase III, version 3 MAO-004 report will be release in April 2018. Please refer to the HPMS memo, "Phase III Version 3 MAO-004 Report Release Date and Announcement Regarding Final Encounter Data Deadlines for Payment Years 2016 and 2017" for more information.

Q14. What is the average response time for inquiries submitted to riskadjustment@cms.hhs.gov?

A14. CMS receives an extremely large number of inquiries via our mailbox, many of which require extensive research. We review each question closely and, in some cases, require additional information for research. As a result, response time varies depending on the type of question and how much research is needed to develop a response.

Q15. What connectivity options can MAOs use to submit RAPS and EDS files?

A15. MAOs can use the following options to submit RAPS and EDS files:
   a. Connect:Direct
   b. FTP
   c. Gentran/TIBCO

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Q16. Can MAOs submit End Stage Renal Disease (ESRD) information on behalf of dialysis centers?

A17. No, MAOs may not submit information on behalf of dialysis centers. CMS obtains information regarding the start of dialysis and transplant status from reports that dialysis facilities directly submit to CMS.

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