

# Encounter Data System User Group

*January 12, 2012*

# Agenda

- Introduction
- Session Guidelines
- CMS Update
- System Requirements
- End-to-End Testing Requirements
- Reports Update
- Companion Guide Updates / Review
- Institutional Certification
- User Group Questions and Responses
- Resources
- Closing Remarks

# Introduction

The purpose of this session is to provide Medicare Advantage Organizations (MAOs) and other entities with information on policy and operational guidance in order to continue testing and begin submitting production data to the Encounter Data System (EDS).

# MAOs and Other Entities

- CMS requires the following types of organizations to submit encounter data:
  - Medicare Advantage (MA) Plans
  - Medicare Advantage-Prescription Drug (MA-PD) Plans
  - Health Maintenance Organizations (HMOs)
  - Special Needs Plans (SNPs)
  - Local Preferred Provider Organizations (PPOs)
  - Regional PPOs
  - Employer Group Health Plans
  - Programs of All-Inclusive Care for the Elderly (PACE) Plans
  - Cost Plans (1876 Cost HMOs/CMPs and 1833 HCPPs)
  - Medical Savings Account (MSA)
  - Private Fee-for-Service Plans (PFFS)
  - Religious Fraternal Benefit Plans (RFBs)
  - Provider Sponsored Organizations (PSO)



# CMS Updates



# Front End Certification

- 209 out of 237 submitters have sent a file for front-end testing/certification.
  - 88% of all assigned Submitter IDs
- 117 of the 237 submitters met certification requirements for Professional data.
  - 100 certified for Professional and Institutional
  - 17 for Professional only

# Front End Certification

- CMS is currently experiencing issues with two of the provider Fee-For-Service (FFS) Institutional CEM edits.
- The issues have been reported and the FFS team is working to resolve the problem.
- MAOs and other entities should continue to submit files for front-end system certification.
  - If Institutional files are returned due to these specific edit errors only, MAOs and other entities will be certified for Institutional.
- Professional certification will continue as normal
  - MAOs and other entities should begin end-to-end Professional testing upon notification of front-end certification for Professional.



Data as of 1/10/12

# End-To-End Certification

- Before completing submission of end-to-end test cases, submitters must be front-end certified.
- Business Scenarios in the Companion Guide provide instructions on submitting data according to Encounter Data guidelines.
- CMS has modified the certification criteria to be:
  - 95% acceptance rate
    - 95% acceptance rate for all required test cases
    - MAOs and other entities are no longer required to submit 100 additional encounters for certification
- CMS suggests MAOs and other entities send only the required test cases.





# Testing / Certification Timeline

	Testing Begins	EDPS Testing	Deadline for Initial Submission of Encounters *	Testing Ends/Deadline for Certification
Professional Encounters	1/4/12	Test cases	2/1/12*	3/1/12
Institutional Encounters	2/1/12	Test cases	3/1/12*	4/2/12
DME Encounters	5/7/12	Test cases	6/1/12*	7/2/12

\*MAOs and other entities must make an initial submission to the EDPS by this date.



# System Requirements

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# Dates of Service (DOS)

The Encounter Data Processing and Pricing System is designed for 2012 forward.

- End-to-End testing data **must** be based on 2012 DOS.
- Production data **must** be based on 2012 DOS.
- Do not submit data with future DOS.

# End-to-End Testing Requirements

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# End-to-End Testing Requirement

- Submit sample data representing each Test Case.
  - MAOs and other entities are required to submit a minimum of two (2) encounters per test case:
    - 23 Test Cases for Professional = 46 encounters
      - TC08 has been excluded – Foreign Claims guidance has not been determined.
      - TC18 has been excluded – Paper Generated Claims guidance has not been determined.

# End-to-End Testing Requirement

- Please include all test cases in two file submissions as follows:
  - 1<sup>st</sup> file should include all test cases that do not require linking (38 total encounters)
  - 2<sup>nd</sup> file should include all test cases that require linking
    - TC12 – Correct/Replace
    - TC13 – Void/Deleted
    - TC14 – Chart Review: Linked
    - TC16 – Duplicate

# End-to-End Testing Requirement

Identify the test case being submitted in the last four characters of the CLM01 with “TC#”.

- **For example:** Test Case 1 should be populated “TC01”.

# End-to-End Testing Requirement

- For all test cases that require linking, submitters will need to receive the ICN on a 277CA before they are able to complete those test cases.
  - Only encounter ICNs that have reached an accepted status through the EDPS may be populated on correct/replace, deletion, and/or linked ICN chart review encounters.



# End-to-End Testing Requirement

- When submitting encounters for Test Cases #23, #24, and #25, MAOs and other entities should refer to the Medicare Fee-for-Service Fee Schedule links provided in order to obtain appropriate codes and updated information for submission.
- These links are provided to allow submitters to select a service that routes to the correct pricer or fee schedule in EDPS.
- Fee schedules are provided for test case submission. MAOs and other entities should refer to the most current fee schedules, as they may be updated regularly.

# End-to-End Certification Helpful Tools

- TC23 – Medicare Physicians (MPFS) Submission  
<http://www.cms.gov/FeeScheduleGenInfo/>
- TC24 – Ambulatory Surgery Center (ASC) Submission  
<http://www.cms.gov/ASCPayment/>
- TC25 – Clinical Laboratory Submission  
<http://www.cms.gov/FeeScheduleGenInfo/>

# MAO-002: Encounter Data Processing Status Report

# MAO-002 Report

## Encounter Data Processing Status Report

The MAO-002 Report layout is located on the CSSC Operations website at

<http://csscoperations.com/internet/cssc.nsf/docsCat/CSSC~Encounter%20Data~EDS%20Resources?open&at=CSSC~Encounter%20Data~EDS%20Resources>

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# MAO-002 Report

## Encounter Data Processing Status Report

The report return process is as follows:

- MAOs and other entities submit encounters to the EDFES
- Encounters are processed through the EDFES and accepted encounters are sent to the EDPS
- When encounters are processed, the MAO-002 report is generated and sent to the EDFES for submission to MAOs and other entities
- MAOs and other entities can expect to receive reports within 5 – 7 business days from the time of submission

# MAO-002 Report

## Encounter Data Processing Status Report

Please contact CSSC Operations with any questions regarding the receipt of Encounter Data Processing Status Reports at:

877-534-CSSC (2772)

OR

[csscoperations@palmettogba.com](mailto:csscoperations@palmettogba.com)

# MAO-002 Report Formatted

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# MAO-002 Report - Flat File

HEADER RECORD		There is only one header record per file.		
Position(s)	Item	Notes	Length	Format
1	Record Type	0=Header	1	Numeric
2	Delimiter		1	Uses the ^ character value
3-9	Report ID	Value is "MAO-002"	7	Alpha Numeric
10	Delimiter		1	Uses the ^ character value
11-18	Report Date	Date that the report was created by EDPSC.	8	Numeric, format CCYYMMDD
19	Delimiter		1	Uses the ^ character value
20-27	Transaction Date		8	Numeric, format CCYYMMDD
28	Delimiter		1	Uses the ^ character value
29-67	Report Description	Value is "Encounter Data Processing Status Report"	39	Alpha Numeric
68	Delimiter		1	Uses the ^ character value
69-73	Medicare Advantage Contract ID	Medicare Contract ID assigned to the MA Plan	5	Alpha Numeric
74	Delimiter		1	Uses the ^ character value
75-104	Submission Interchange Number	Interchange Sender ID (ISA06) + Interchange Control Number (ISA13) + Interchange Date (ISA09)	30	Alpha Numeric
105	Delimiter		1	Uses the ^ character value

← Tracking Tool





# MAO-002 Report - Flat File

DETAIL RECORD		There may be multiple detail records per file.		
Position(s)	Item	Notes	Length	Format
1	Record Type	1=Detail	1	Numeric
2	Delimiter		1	Uses the ^ character value
3-9	Report ID	Value is "MAO-002"	7	Alpha Numeric
10	Delimiter		1	Uses the ^ character value
11-48	Plan ID (CCN)	Plan internal encounter control number.	38	Alpha Numeric
49	Delimiter		1	Uses the ^ character value
50-99	Encounter ICN	Internal Control Number assigned by the EDPS. Unique per encounter line. In encounter data, only 13 spaces represent the ICN however 50 spaces are coded to allow enhancement of the ICN.	50	Numeric
100	Delimiter		1	Uses the ^ character value
101-103	Encounter Line Number	Internal line number generated by EDPS. For any given ICN (claim document) the line numbers will start from "001" with the first encounter line and increment by 1 for every additional line.	3	Numeric
104	Delimiter		1	Uses the ^ character value
105-112	Encounter Status	Value is "Accepted" or "Rejected"	8	Alpha Numeric
113	Delimiter		1	Uses the ^ character value

← Test Case ID

← Maps to ICN from 277CA

# MAO-002 Report - Flat File

Based on published error codes and descriptions

ERROR RECORD				
There may be zero, one or more error records per encounter line				
114-118	Error Code		5	Alpha Numeric
119	Delimiter		1	Uses the ^ character value
120-159	Error Description		40	Alpha Numeric
160	Delimiter		1	Uses the ^ character value
TRAILER (TOTALS) RECORD				
Position(s)	Item	Notes	Length	Format
1	Record Type	9=Trailer	1	Numeric
2	Delimiter		1	Uses the ^ character value
3-9	Report ID	Value is "MAO-002"	7	Alpha Numeric
10	Delimiter		1	Uses the ^ character value
11-18	Total Number of Processing Errors		8	Numeric
19	Delimiter		1	Uses the ^ character value
20-27	Total Number of Encounter Lines Accepted		8	Numeric
28	Delimiter		1	Uses the ^ character value
29-36	Total Number of Encounter Lines Rejected		8	Numeric
37	Delimiter		1	Uses the ^ character value
38-45	Total Number of Encounter Lines Submitted		8	Numeric
46	Delimiter		1	Uses the ^ character value
47-52	Total Number of Encounter Records Rejected		8	Numeric
53	Delimiter		1	Uses the ^ character value
54-61	Total Number of Encounter Records Accepted		8	Numeric
62	Delimiter		1	Uses the ^ character value
63-70	Total Number of Encounter Records Submitted		8	Numeric
71	Delimiter		1	Uses the ^ character value

Allows for reconciliation on line and claim level



# MAO-002 Report

## Encounter Data Processing Status Report

- The MAO-002 report reflects 3 edit dispositions:
  - Accepted: No further action is required
  - Informational: MAOs may need to update their databases, but resubmission is not required
  - Reject: Resubmission is required
- MAOs and other entities must resubmit the encounter if the data was rejected by the EDPSC as a new submission.
- EDFES will process and the 277CA will assign a new ICN.
- Any further linking should be applied to the new ICN



# MAO-002 Report EDPS Naming Convention

## TESTING

Mailbox	Formatted Report	Flat File
FTP	RPTxxxxx.RPT.EDPS_002_DATPRS_RPT	RPTxxxxx.RPT.EDPS_002_DATPRS_FILE
FTP Zipped	RPTxxxxx.ZIP.EDPS_002_DATPRS_RPT	RPTxxxxx.ZIP.EDPS_002_DATPRS_FILE
GENTRAN	T.xxxxx.EDPS_002_DataProcessingStatus_Rpt	T.xxxxx.EDPS_002_DataProcessingStatus_File
NDM	Supplied by Submitter	Supplied by Submitter

These reports provide the status of submissions during the adjudication process

# MAO-002 Report

## EDPSC Naming Convention

### PRODUCTION

Mailbox	Formatted Report	Flat File
FTP	RPTxxxxx.RPT.EDPS_002_DATPRS_RPT	RPTxxxxx.RPT.EDPS_002_DATPRS_FILE
FTP Zipped	RPTxxxxx.ZIP.EDPS_002_DATPRS_RPT	RPTxxxxx.ZIP.EDPS_002_DATPRS_FILE
GENTRAN	P.xxxxx.EDPS_002_DataProcessingStat us_Rpt	P.xxxxx.EDPS_002_DataProcessingStat us_File
NDM	Supplied by Submitter	Supplied by Submitter

These reports provide the status of submissions during the adjudication process

# Companion Guide January 2012 Release

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# Companion Guide Updates - Professional

## Encounter Data Professional Processing and Pricing (EDPPPS) edits:

- CMS distributed a Proposed Edits Comments tool.
- MAOs and other entities responded with comments
- Updates were incorporated in the January release of the Professional Companion Guide.
- Edits may change based on testing outcomes.

# Companion Guide Updates - Institutional

## Business Cases:

- Standard Institutional Encounter
- Capitated Institutional Encounter
- Chart Review Institutional Encounter – No Linked ICN
- Chart Review Institutional Encounter – Linked ICN
- Complete Replacement Institutional Encounter
- Complete Deletion Institutional Encounter
- Atypical Provider Institutional Encounter
- Paper Generated Institutional Encounter
- True Coordination of Benefits Institutional Encounter
- Bundled Institutional Encounter



# Companion Guide Updates - Institutional

## Claim Frequency Type Code “9”

- Based on MAOs and other entities feedback, CMS has added an additional acceptable Claim Frequency Type Code of ‘9’ to indicate Final Claim for a Home Health PPS Episode.

# Institutional 837-I Certification

# 837-I Next Steps

Institutional certification process  
begins on February 1, 2012.

# User Group Questions & Responses

# Question #1

Will the test case identification code be returned in the 277CA file in the TRN02 field?

# Question #2

Will an ICN be reported on the 277CA for rejected encounters?

How should a rejected encounter be submitted?

# Question #3

MAOs and other entities may not have knowledge of the referring or other situational NPIs for out-of-network providers and would be passing along what the provider submitted. Are MAOs and other entities expected to validate all NPIs prior to sending encounters to the EDPS?

# Question #4

Will there be standard naming conventions for all files returned to MAOs and other entities, including:

- TA1 – Interchange Acknowledgment
- 999 – Acknowledgment for Health Care Insurance
- 277CA – Health Care Claim Acknowledgment



# Question #5

When voiding or replacing a chart review, will it get deleted in the EDPS? If yes, what ICN number should MAOs and other entities use in order for the EDPS to know what encounter or chart review encounter they are trying to link to ?

# Question #6

Because MAOs and other entities must submit encounter data for dates of service from January 1, 2012 onward in the 5010 format, will CMS accept/expect chart review data for 2011 dates of service in the new 5010 format beginning January 2012?

# Question #7

CMS has stated that a valid HIC number must be populated on encounters. Does that pertain to End-to-End testing only?

# Resources



# Resources

- CSSC Operations:  
<http://www.csscoperations.com/internet/cssc.nsf/Home>
- Encounter Data Outreach Registration:  
[www.tarsc.info](http://www.tarsc.info)
- CMS: [www.cms.gov](http://www.cms.gov)
- EDS Inbox: [eds@ardx.net](mailto:eds@ardx.net)

# Resources *(cont'd)*

- X12 Version 5010 Standards:  
[http://www.cms.gov/Version5010andD0/01\\_overview.asp](http://www.cms.gov/Version5010andD0/01_overview.asp)
- CEM/CEDI Technical Reporting Formats:  
[http://www.cms.gov/MFFS5010D0/20\\_TechnicalDocumentation.asp](http://www.cms.gov/MFFS5010D0/20_TechnicalDocumentation.asp)
- Washington Publishing Company:  
<http://www.wpc-edi.com/content/view/817/1>

# User Group

**REMINDER:** The next User Group session will be held on Thursday, January 26, 2012 from 3:00 PM EST – 4:00 PM EST.

Please remember to review the most recent Companion Guide published at [www.csscooperations.com](http://www.csscooperations.com).

# Questions & Answers



# Closing Remarks