

# Encounter Data System User Group

*January 24, 2013*

# Agenda

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# Purpose

- To provide Medicare Advantage Organizations (MAOs) and other entities that are currently submitting encounter data with information on policy and operational guidance on testing and submitting production data to the Encounter Data System (EDS).
- To provide new 2013 MAOs and other entities with resources and operational guidance related to certification and submission of encounter data to the EDS.

# MAOs and Other Entities

- CMS requires the following types of organizations to submit encounter data:
  - Medicare Advantage (MA) Plans
  - Medicare Advantage-Prescription Drug (MA-PD) Plans
  - Health Maintenance Organizations (HMOs)
  - Special Needs Plans (SNPs)
  - Local Preferred Provider Organizations (PPOs)
  - Regional PPOs
  - Employer Group Health Plans
  - Programs of All-Inclusive Care for the Elderly (PACE) Plans
  - Cost Plans (1876 Cost HMOs/CMPs and 1833 HCPPs)
  - Medical Savings Account (MSA) Plans
  - Private Fee-for-Service (PFFS) Plans
  - Religious Fraternal Benefit (RFB) Plans
  - Provider Sponsored Organizations (PSOs)

# Session Guidelines

- This is a one (1) hour Encounter Data User Group session.
- The first portion of this session will address updates for the EDS.
- The second portion of this session will address guidance for new 2013 MAOs and other entities.
- If time allows, the Encounter Data team will facilitate two (2) Q&A periods.

# CMS Updates

# End-to-End Certification Status

- Of the 581 MAOs and other entities that have submitted data for 2012, the following have achieved end-to-end certification:

## *Certification Status as of January 22, 2013*

	Professional	Institutional	DME	PACE
<b>Number of MAOs and Other Entities Certified</b>	<b>567</b>	<b>564</b>	<b>561</b>	<b>43</b>

# End-to-End Certification Status for New 2013 MAOs and Other Entities

- Of the 44 new MAOs and other entities that will begin encounter data submission in 2013, the following have achieved end-to-end certification:

## *Certification Status as of January 22, 2013*

Number of MAOs End-to-End Certified	Number of PACE Organizations End-to-End Certified
17	2



# MAO-002 Reports Update

- For all encounter data submissions, the MAO-002 Encounter Data Processing Status Reports will be returned to MAOs and other entities in the order that the files are received.

# EDS Updates

# *EDS*

## *Known Issues*

# EDFES Known Issues

- Submitters are receiving 277CA Acknowledgement Reports that indicate a full file reject due to duplicate submission edit CSCC A8:CSC 746.

CSCC A8	Acknowledgement / Rejected for relational field in error
CSC 746	Duplicate Submission Note: use only at the information receiver level in the Health Care Claim Acknowledgement transaction
EIC: 40	Receiver

**Note:** Edit CSCC A8:CSC 746 is located on the CEM Edits Spreadsheets within the Edit Rules Worksheet in the “General Edit Rules” section.

# EDFES Known Issues (cont'd.)

- This edit may also occur when multiple ST/SE transaction sets are submitted in a file and only some ST/SE transactions sets are accepted.
  - When this occurs, *only the accepted transaction sets are sent to the EDPS for processing.*
- Submitters receiving this edit in error should change the following key fields and resubmit the affected files:
  - ISA13/IEA02
  - GS06/GE02
  - ST02/SE02
  - BHT03
- For further assistance, contact CSSC at (877) 534-2772 or [csscooperations@palmettogba.com](mailto:csscooperations@palmettogba.com).

# EDPS Known Issues

Error Code	Error Code Description	Target Resolution Date
00699	Void Submission Must Match Original Encounter	1/28/2013
00755	Claim to be Voided is Already Voided	1/28/2013
00760	Claim Adjustment is Already Adjusted or Adjustment is in Progress	1/28/2013

- The EDPS will perform a system enhancement for Correct/Replace and Void/Delete (adjustment) encounters to update the editing logic for these edits.

# EDPS Known Issues (cont'd.)

Error Code	Error Code Description	Target Resolution Date
<b>03102</b>	Provider Type or Specialty Not Allowed to Bill Procedure <ul style="list-style-type: none"> <li><i>(Interim Resolution – Edit currently reflects an “Informational” status. Final resolution will cause Edit 03102 to reflect a “Reject” status.)</i></li> </ul>	Final Resolution TBD
<b>17050</b>	Type of Bill - Conflict with Occurrence Codes <ul style="list-style-type: none"> <li><i>EDPS enhancement to update edit logic</i></li> </ul>	1/28/2013
<b>17735</b>	Modifier - Not Within Effective Date <ul style="list-style-type: none"> <li><i>EDPS enhancements implemented to correct issue</i></li> </ul>	1/28/2013
<b>32005</b>	Payer ID not DME for DMEMAC Jurisdiction <ul style="list-style-type: none"> <li><i>Edit will be deactivated</i></li> </ul>	1/28/2013
<b>32035</b>	Place of service is 11, 12, or 23 for DME Encounter <ul style="list-style-type: none"> <li><i>Edit will be deactivated</i></li> </ul>	1/28/2013

# *EDS Edits*



# EDFES Edits

- Institutional Edit 234 – “Patient Discharge Status” requires the use of Occurrence Code 55.
  - X223.153.2300.CL103.015 Patient Discharge Status
    - *When 2300.CL103 value “20”, “40”, “41”, or “42” is present, at least one occurrence of 2300.HI01-2 thru HI12-2 must = “55” where HI01-1 is “BH”.*
- When submitting Occurrence Code 55:
  - Provide the date of the patient’s date of discharge.
  - If the patient expired, provide the date of death (DOD).

# EDFES

## Temporarily Deactivated Edits

- Effective January 14, 2013, CMS temporarily deactivated all Professional, Institutional, and DME translator and CEM balancing edits to allow MAOs and other entities to successfully submit encounters to the EDS that require balancing to pass EDFES editing.
- The balancing edits will remain deactivated until further notice.
- MAOs and other entities continuing to experience problems with balancing should contact CSSC Operations at (877) 534-2772.

# EDPS Edits

- The EDPS received Institutional files containing End-Stage Renal Disease (ESRD) encounters with excessive values for weight and height in Loop 2300 HI segment where the value = BE (Value Code).
- These excessive values are causing files to fail processing.

# EDPS Edits (cont'd.)

- When submitting encounters with bill type 72X:
  - Value Code A8 for Patient's Weight
    - Submit patient's weight in kilograms with up to two (2) decimal places.
    - Submitters will receive an error if the weight submitted is greater than 318.2 kilograms (700 pounds).
  - Value Code A9 for Patient's Height
    - Submit patient's height in centimeters with up to two (2) decimal places.
    - Submitters will receive an error if the height submitted is greater than 228.6 centimeters (7 feet 6 inches).

# EDPS Edits (cont'd.)

- EDPS edits due to defects in the Member table indexes are resolved:
  - Edit 02110 - Beneficiary HICN Not on File
  - Edit 02255 - Beneficiary Not Part A Eligible for DOS
- MAOs and other entities receiving these edits should validate the member data prior to contacting CSSC.
- Submitters should contact CSSC at (877) 534-2772 for assistance if they believe they have received these edits in error.

# EDPS Edits (cont'd.)

- Edit 03101 – Invalid Gender for CPT/HCPCS
  - Effective January 28, 2013, the disposition status of this edit will be changed from “reject” to “informational”.
  - MAOs and other entities are encouraged to verify the accuracy of the beneficiary data related to gender and associated services when receiving Edit 03101 on MAO-002 reports.
  - CMS is performing further analysis for CPT/HCPCS codes that may be affected by this disposition change.

# *DME Test Case Specifications Update*

Encounter Data

User

Group

Thursday, January 24, 2013

3:00 P.M. – 4:00 P.M. ET

# DME Test Case Specifications Update

- Effective December 18, 2012, MAOs and other entities are not required to submit DMETC02 Incident to services for DME end-to-end certification.
- CSSC distributed notifications to submitters that were certified as a result of the exclusion of DMETC02 from end-to-end certification requirements.



# DME Test Case Specifications Update (cont'd.)

- DME Test Case Specifications, and DME PACE Test Case Specifications are posted on the CSSC Operations website at:

<http://www.csscoperations.com/internet/cssc3.nsf/docsCat/CSSC~CSSC%20Operations~Encounter%20Data~ED%20Testing?open&expand=1&navmenu=Encounter^Data||>.

# Risk Adjustment Filtering Logic

- CMS will release an update for the Risk Adjustment filtering logic and associated MAO-004 Risk Filtering Reports in early Summer.

# EDS Operational Highlights

Encounter Data

User

Group

Thursday, January 24, 2013

3:00 P.M. – 4:00 P.M. ET

# Highlight #1

## Submission Frequency

- The minimum frequency of submission is based on enrollment size.
- MAOs and other entities may submit data as often as daily.

Number of Medicare Enrollees	Minimum Submission Frequency
Greater than 100,000	Weekly
50,000 – 100,000	Bi-weekly
Less than 50,000	Monthly

# Highlight #2

## Regional TA Training

- CMS is currently finalizing the dates for the 2013 Regional Technical Assistance.
- The dates will be announced during a future User Group session and published at <http://www.tarsc.info>.

# Highlight #3

## Home Health Submission

- CMS is currently accepting Institutional and Professional Home Health encounters.
- Effective for July 2013 DOS and beyond, only Institutional Home Health encounters will be accepted.

# Highlight #4

## NDC Submission

- MAOs and other entities are not required to submit NDC for encounter data submission, but are encouraged to submit NDC data, when available.

# Questions & Answers



# Guidance for New 2013 MAOs and Other Entities

# Connectivity

- In order to establish a secure connection for submitting data to CMS, MAOs and other entities must complete an Electronic Data Interchange (EDI) agreement and submitter application and return to CSSC for processing.
  - The EDI Agreement attests to the accuracy of data submitted by the MAO or other entity.
  - EDI agreement and application requirements are located on the CSSC Operations website at <http://www.csscoperations.com>.

# Connectivity (cont'd.)

- Once the EDI agreement is received and processed, CSSC will send a secure email containing the MAO or other entity's submitter ID.
- The submitter ID is required to submit data to the EDS for end-to-end testing and certification.
- New MAOs and other entities must complete an EDI agreement no later than February 15, 2013.
- For assistance with obtaining and completing the EDI agreement, MAOs and other entities should contact CSSC at (877) 534-2772 or [csscoperations@palmettogba.com](mailto:csscoperations@palmettogba.com).

# Operational Guidance Resources

- The following resources will assist MAOs and other entities with accurate submission of encounter data:

Resource	Purpose	Source
<b>TR3</b>	Serves as the foundation and primary reference for 5010 electronic file submission	<a href="http://www.wpc-edi.com/">http://www.wpc-edi.com/</a>
<b>CMS CEM Edits Spreadsheet</b>	Provides CMS specific requirements for submission of 5010 electronic file submission	<a href="https://www.cms.gov/MFFS5010D0/20_TechnicalDocumentation.asp">https://www.cms.gov/MFFS5010D0/20_TechnicalDocumentation.asp</a>
<b>Encounter Data Companion Guides</b>	Provides encounter data specific guidance and must be used in conjunction with the associated TR3 and CEM edits spreadsheet	<a href="http://csscooperations.com/internet/Cssc.nsf/files/Jan_Release_EDCompanionGuide_837P_010912.pdf/\$File/Jan_Release_EDCompanionGuide_837P_010912.pdf">http://csscooperations.com/internet/Cssc.nsf/files/Jan_Release_EDCompanionGuide_837P_010912.pdf/\$File/Jan_Release_EDCompanionGuide_837P_010912.pdf</a>

# Operational Guidance Resources

(cont'd.)

- CMS provides the industry with regular updates and notifications regarding EDS implementation:
  - ✓ EDS Bulletins
  - ✓ EDS User Group Materials
  - ✓ Up-to-the-minute notifications regarding EDS status
  - ✓ EDS Companion Guides
  - ✓ EDS Quarterly Newsletter
- Contact CSSC at [cssoperations@palmettogba.com](mailto:cssoperations@palmettogba.com) to obtain instructions for registration to automatically receive these notifications.
- Access the Technical Assistance Registration Support Center (TARSC) at <http://www.tarsc.info/> to obtain information regarding User Group updates and Regional Technical Assistance sessions.

# Getting Started

## *Coming Soon*

The “Getting Started” webinar series for new 2013 MAOs and other entities will provide detailed operational guidance on submission resources, requirements, and best practices.

# Certification Requirements

- CMS has instituted EDS end-to-end certification to ensure that submitters are able to meet the requirements for encounter data collection and submission.
- MAOs and other entities must meet front-end submission requirements prior to submitting data for end-to-end testing and certification.
- CMS will announce an end-to-end certification deadline at a later date.

# Certification Requirements (cont'd.)

- MAOs and other entities contracted with an end-to-end certified Third Party Submitter (TPS) are not required to certify separately for end-to-end testing as long as the TPS is submitting on the organization's behalf.
  - Ensure that appropriate EDI documentation is completed for contracted organizations.
  - In addition to the EDI documentation, MAOs and other entities should ensure that they have documentation on file that states that the MAO or other entity has authorized the TPS to submit on their behalf.



# Front-End Submission Requirements

- MAOs and other entities are required to submit front-end testing in order to:
  - Validate the accuracy of ANSI 837-P and 837-I 5010 formatting.
  - Validate the EDFES editing logic.
- MAOs and other entities may access the front-end testing requirements on the CSSC Operations website at:  
[http://www.csscooperations.com/internet/Cssc.nsf/files/front-end-testing-redlined\\_040411.pdf/\\$File/front-end-testing-redlined\\_040411.pdf](http://www.csscooperations.com/internet/Cssc.nsf/files/front-end-testing-redlined_040411.pdf/$File/front-end-testing-redlined_040411.pdf).

# End-to-End Certification

- MAOs and other entities are required to submit end-to-end testing and achieve certification in order to:
  - Validate the programming of MAOs and other entities' internal systems.

# End-to-End Certification (cont'd.)

- MAOs and other entities must achieve a 95% acceptance rate on all test cases to achieve certification for end-to-end testing.
  - MAOs and other entities should begin submitting production data upon completion of the certification process.
- CMS is currently developing test case specifications for 2013 end-to-end testing.
  - The Business Scenarios in the EDS Companion Guides provide instructions for submitting data according to Encounter Data guidelines.
    - The EDS Companion Guides are published at <http://www.cssoperations.com>.

# RAPS and EDS Data Submission

- MAOs and other entities must submit RAPS and encounter data in parallel.
- For assistance with RAPS submission guidance, contact CSSC Operations at (877) 534-2772 or [csscoperations@palmettogba.com](mailto:csscoperations@palmettogba.com).

# EDS Implementation Next Steps

# EDS Implementation Next Steps

ED Materials / Information	Target Date
EDS Companion Guides – January Release	January 31, 2013
EDS Quarterly Newsletter – 1 <sup>st</sup> Quarter Release	February 2013
EDS User Group Session	February 7, 2013
Deadline for New 2013 MAOs and Other Entities to Submit EDI Agreement	February 15, 2013
PACE DME Certification Deadline	February 28, 2013
Risk Adjustment Filtering Logic	Summer 2013

# Questions & Answers

# Resources



# Resources

- CSSC Operations: <http://www.csscooperations.com/>
- Technical Assistance Registration Service Center (TARSC): <http://www.tarsc.info/>
- CMS: <http://www.cms.gov/>
- EDS Inbox: [eds@ardx.net](mailto:eds@ardx.net)

# Resources (cont'd.)

- X12 Version 5010 Standards:  
<https://www.cms.gov/Regulations-and-Guidance/HIPAA-Administrative-Simplification/Versions5010andD0/index.html?redirect=/Versions5010andD0/>
- CEM/CEDI Technical Reporting Formats:  
[http://www.cms.gov/MFFS5010D0/20\\_TechnicalDocumentation.asp](http://www.cms.gov/MFFS5010D0/20_TechnicalDocumentation.asp)
- Washington Publishing Company:  
<http://www.wpc-edi.com/content/view/817/1>

# Closing Remarks