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# Encounter Data 101 User Group Q&A Documentation

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## Questions and Answers – August 7, 2014 Live Session

### Edits and Reports

**Q1: Does the EDFES generate an Incidence Ratio Rate (IRR) Report in conjunction with the EDFES TA1, 999, and 277CA Acknowledgement Reports?**

A1: No, the IRR reports are not used for encounter data. The EDFES generates the TA1, 999, and 277CA Acknowledgement Reports only.

**Q2: Is there an update on the expected release of the MAO-004 Risk Filtering Report?**

A2: CMS will provide notification and industry guidance for the MAO-004 Risk Filtering Report prior to distribution.

**Q3: If an MAO receives a 999R Acknowledgement Report, will the MAO also receive a 277CA Acknowledgment Report and an MAO-001 Encounter Data Duplicates Report?**

A3: If an MAO receives an EDFES 999R Acknowledgement Report, the MAO must correct all encounter errors in the file and resubmit the files. The EDFES will reprocess the encounter file and generate a 277CA Acknowledgement Report, indicating the file has been accepted by the EDFES. Once the file is transmitted to and processed through the EDPS for additional editing, the MAO will receive an MAO-002 Encounter Data Processing Status Report identifying all accepted and rejected encounters. The MAO will only receive an MAO-001 Encounter Data Duplicates Report if the encounter file contained reject error code 98325 “Service Line(s) Duplicated”.

**Q4: Are MAOs required to correct and resubmit the entire file when receiving a 999R Acknowledgement Report?**

A4: When receiving a 999R Acknowledgement report that contains reject errors, the MAO must correct all encounter errors in the file and resubmit the entire file.

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**Q5: What is the response time for MAOs to receive reports for submission of test files?**

A5: When submitting Tier II test files, MAOs should expect a response time of seven (7) days for EDPS MAO-002 Processing Status Reports.

**Q6: Will the EDFES TIBCO translator generate an edit for missing or invalid Health Insurance Prospective Payment System (HIPPS) codes?**

A6: CMS has not defined a date for the implementation of the EDFES TIBCO translator; CMS will alert plans when the implementation of the EDFES TIBCO translator will be completed.

### Timely Filing

**Q7: Has CMS implemented the 13-month timely filing rule?**

A7: Yes, the 13-month timely filing rule was implemented in July 2014. MAOs that do not submit all encounters within 13 months of the original date of service (DOS) will receive informational error code 00190-Encounter Beyond Timely Filing Req.

**Q8: Does the timely filing guidance of 13 months from the encounter's DOS apply to adjustment encounters?**

A8. No, the timely filing guidance of 13 months from the encounter's DOS applies to original encounter submissions only. For adjustment encounters, timely filing guidance states that MAOs submit adjustment encounters within 30 days of the adjustment encounter's adjudication date. The timely filing rules for all encounter types validates based on 'through' DOS. Please note that the timely filing rule edits are informational only.

### End-to-End Certification

**Q9: If an end-to-end certified MAO needs to submit encounter data for a Program of All-Inclusive Care for the Elderly (PACE) organization, is the MAO required to obtain a separate Submitter ID and separate end-to-end certification for the PACE organization?**

A9: No, if an MAO is already end-to-end certified and needs to submit encounter data for a PACE organization, that MAO is not required to obtain a separate Submitter ID, or complete end-to-end certification again.

**Q10: What are the timelines/deadlines for End-to-End Certification and Tier II testing?**

A10: MAOs are encouraged to complete End-to-End certification within the first quarter of the first year of operation; however, MAOs that have not yet completed certification requirements should complete the certification process as soon as possible. End to end certifications and production requirements are defined in the Readiness Checklist Memo.

Tier II testing is optional. MAOs that are end-to-end certified are able to submit encounter data to the Tier II test environment at any time.

**Submission**

**Q11: Are there additional requirements for a Third Party Submitter (TPS) to submit encounters on behalf of an MAO other than completing a Submitter Application?**

A11: TPS organizations submitting encounter data on behalf of an MAO must complete and submit:

1. An Electronic Data Interchange (EDI) Agreement signed by an authorized agent of the organization. The EDI Agreement must be signed and returned to CSSC Operations.
2. The Encounter Data Online Submitter Application.
3. Complete the Encounter Data Connect: Direct Application (if applicable).

The authorizing MAO must submit a Letter of Authorization notifying CMS that the MAO has authorized the TPS to submit encounter data on their behalf.

The letter of authorization must be submitted on the MAO's company letterhead and should include the applicable types of data for which the TPS will submit data (i.e., Risk Adjustment, Encounter Data, and/or Prescription Data Event).

**Q12: Should Medicare Advantage Organizations (MAOs) continue to submit encounters for 2012 DOS?**

A12: Yes, MAOs should submit all encounters with DOS on or after January 1, 2012.

**Q13: When will the Risk Adjustment Processing System (RAPS) fully transition to the Encounter Data System (EDS)?**

A13: Currently, there is no established date for the transition of RAPS to EDS. CMS will inform submitters in advance of this transition.