# Encounter Data System User Group

## *March 15, 2012*

ARDX
A. Reddix &
Associates

CMS
CENTERS for MEDICARE & MEDICAID SERVICES

# Agenda

- Introduction
- Session Guidelines
- End-to-End Test Plan
- Minimum Data Elements
- System Updates: Professional Processing and Pricing (EDPPPS) Edits
- CMS Updates
- Questions and Responses
- Closing Remarks

ARDX
A. Reddix &
Associates

CMS
CENTERS for MEDICARE & MEDICAID SERVICES

The purpose of this session is to provide Medicare Advantage Organizations (MAOs) and other entities with information on policy and operational guidance on testing and submitting production data to the Encounter Data System (EDS).

ARD*X*
A. Reddix & Associates

**CMS**
CENTERS for MEDICARE & MEDICAID SERVICES

# Session Guidelines

- This is a one (1) hour Encounter Data User Group for MAOs and other entities.

- If time allows, we will respond to questions.

ARDX
A. Reddix & Associates

CMS
CENTERS for MEDICARE & MEDICAID SERVICES

4

# End-to-End Test Plan

ARDX
A. Reddix &
Associates

CMS
CENTERS for MEDICARE & MEDICAID SERVICES

# End-to-End Test Plan

- In response to industry feedback, CMS will provide MAOs and other entities the opportunity to submit additional test data.

- CMS has expanded the scope of professional end-to-end testing to include this additional level of plan testing.

- We have posted the End-to-End Test Plan at www.csscoperations.com

- The additional level of plan testing will be labeled Tier 2 – Plan Testing in the new Test Plan.

- Plan testing is intended to allow MAOs and other entities the opportunity to refine their end-to-end processes based on the submission of a more inclusive sampling of plan specific business cases.

- Tier 1 Testing (Test Case Scenarios) will continue as planned, but the timeline will be revised.

ARDx
A. Reddix & Associates

CMS
CENTERS for MEDICARE & MEDICAID SERVICES

# End-to-End Test Plan

- We have released a document, Encounter Data System End-to-End Test Plan, via email and at www.csscoperations.com for MAOs and other entities to use as a guide in this process. The document describes the End-to-End Test Plan and is outlined here:
  - Overview
  - Tier 1: Test Cases for Certification
    - Plan contains description of the test case scenarios
    - The test cases **must** be used in conjunction with the 837-P Test Case Specifications and 837-P Business Case Scenarios located in the Professional Companion Guide.
    - **Required for Certification**
  - Tier 2: Plan Testing
    - Plan contains requirements for plan testing
    - Not required for certification
  - Certification Requirements
  - Timeline

# Tier 1– Review

Tier 1 – Test Cases - No Change

- MAOs and other entities must submit identified test cases to ensure the submitters' systems are properly configured to map to the EDS' unique requirements.

- MAOs and other entities must achieve a 95% acceptance rate on total required test cases in order to be certified to submit production data.

8

# Tier 1- Review

CMS requires that the test cases are submitted in two (2) separate files.

**File 1**
Includes all <u>unlinked</u> test cases
(19 test cases – 38 encounters)

*All encounters on File 1 must be accepted, as indicated on the MAO-002 report, before submitting File 2*

**File 2**
Includes all <u>linked</u> test cases
(4 test cases – 8 encounters)

9

# End-to-End Testing – Tier 2

## Tier 2 – Plan Testing

- MAOs and other entities will have the opportunity to test additional samplings of data
  - Not a requirement for certification
  - MAO-002 reports will be distributed
    - CMS highly recommends that MAOs and other entities correct rejected encounters and resubmit
  - March 15, 2012 – April 30, 2012
    - Encounters submitted during this period will be removed after the testing period.
  - Up to 2,000 encounters per contract ID
  - Only 837-P encounters will be accepted

ARDX
A. Reddix &
Associates

CMS
CENTERS for MEDICARE & MEDICAID SERVICES

# End-to-End Testing – Tier 2

- Encounters must comply with TR3, CMS CEM Edits Spreadsheet, and Encounter Data Companion Guide.

- Encounters must include 2012 DOS only.

- Files must be identified using the Authorization Information Qualifier data element "Additional Data Identification" in the ISA segment (ISA01=03).

ARDX
A. Reddix & Associates

CMS
CENTERS for MEDICARE & MEDICAID SERVICES

# End-to-End Testing – Tier 2

- Files must be identified using the Authorization Information data element to identify the "Tier 2 indicator" in the ISA segment (ISA02=8888888888).

- Files must be identified as "Test" in the ISA segment (ISA15=T).

All data will be deleted after April 30, 2012

ARD
A. Reddix &
Associates

CMS
CENTERS for MEDICARE & MEDICAID SERVICES

# End-to-End Testing / Certification Timeline

**We have also updated the end-to-end testing timeline in order to accommodate the additional plan testing.**

|  | Testing Begins | EDPS Testing | Deadline for Initial Submission of Encounters * | Testing Ends/Deadline for Certification |
|---|---|---|---|---|
| Professional Encounters | 1/4/12 | Test cases only | 3/30/12* | 4/30/12 |
| Institutional Encounters | 3/30/12 | Test cases only | 4/30/12* | 5/30/12 |
| DME Encounters | 5/7/12 | Test cases only | 6/1/12* | 7/2/12 |

**\*MAOs and other entities must make an initial submission to the EDPS by this date.**

# End-to-End Test Plan

- CMS will continue to send certification notices for successful completion of Tier 1 end-to-end testing.

- Production files will not be accepted until May 1, 2012.

# Minimum Data Elements

# Minimum Data Elements

- On March 1, we sent minimum data elements out to the industry for comment.  We appreciated the comments that we received.  The final minimum data elements have been posted at www.csscoperations.com.

- Encounters must contain these elements in order to pass Encounter Data System (EDS) translator and CEM level edits. The minimum data elements are required to properly process and price encounters.

- Approximately 2/3 of the total minimum data elements are specific to the electronic submission of the file.

  - Operational guidance is provided in the TR3, CEM edits spreadsheets, and EDS Companion Guide.

16

# Minimum Data Elements

- MAOs and other entities may use these data elements for the submission of paper claims, member reimbursement claims, claims from foreign providers, etc.

# Minimum Data Elements

| LOOP ID | REFERENCE | NAME |
|---|---|---|
| | | ISA – INTERCHANGE CONTROL HEADER |
| | ISA01 | Authorization Information Qualifier |
| | ISA02 | Authorization Information |
| | ISA03 | Security Information Qualifier |
| | ISA04 | Security Information |
| | ISA05 | Interchange ID Qualifier |
| | ISA06 | Interchange Sender ID |
| | ISA07 | Interchange ID Qualifier |
| | ISA08 | Interchange Receiver ID |
| | ISA09 | Interchange Date |
| | ISA10 | Interchange Time |
| | ISA11 | Interchange Control Standards |
| | ISA12 | Interchange Control Version Number |
| | ISA13 | Interchange Control Number |
| | ISA14 | Acknowledgement Request |
| | ISA15 | Usage Indicator |
| | ISA16 | Component Element Separator |

Shaded areas are not found on the paper claim, but are required in order to pass translator and CEM editing logic. Refer to the Companion Guide for instructions on populating these elements.

ARDx
A. Reddix &
Associates

CMS
CENTERS for MEDICARE & MEDICAID SERVICES

18

# Minimum Data Elements

| LOOP 2000B – SUBSCRIBER HIERARCHICAL LEVEL | | |
|---|---|---|
| | HL01 | Hierarchical ID Number |
| | HL02 | Hierarchical Parent ID Number |
| | HL03 | Hierarchical Level Code |
| | HL04 | Hierarchical Child Code |
| | SBR01 | Payer Responsibility Sequence Number |
| | SBR02 | Individual Relationship Code |
| | SBR03* | Subscriber Group or Policy Number |
| | SBR04* | Insured Group Number |
| | SBR05* | Insurance Type Code (Professional Only) |
| | SBR09* | Claim Filing Indicator Code |

An asterisk (*) indicates the field is situational. If the situation occurs, this field is required. The tool does not include all situational fields.

Thursday, March 15, 2012
3:00 P.M. – 4:00 P.M., ET

ARDX
A. Reddix &
Associates

CMS
CENTERS for MEDICARE & MEDICAID SERVICES

19

# Ambulance Services

- CMS has developed criteria for the submission of ambulance service data for encounter data.

- Based on industry feedback, ambulance pick-up and drop-off locations are often missing from claims received from providers.

ARDY
A. Reddix &
Associates

*CMS*
CENTERS for MEDICARE & MEDICAID SERVICES

# Ambulance Services

1. Provide the zip code for ambulance pick-up and drop-off locations if available.

2. Provide the zip code for the Rendering Provider if the zip code for ambulance pick-up and drop-off is unavailable.

3. Provide the zip code for the Billing Provider if the Rendering Provider is the same as the Billing Provider and the ambulance pick-up and drop-off is unavailable.

4. Populate a value of 'AM' in PWK01, and a value of "AA" in PWK02 to indicate ambulance pick-up and drop-off is not available and the Rendering or Billing Provider information is populated.

ARDX
A. Reddix &
Associates

CMS
CENTERS for MEDICARE & MEDICAID SERVICES

21

# Encounter Data Professional Processing and Pricing (EDPPPS) Edits

# Professional Edits

- CMS distributed a Proposed Edits Comments tool

- MAOs and other entities responded with comments and updates were made to the original proposed EDPPPS edits

- A list of the EDPPPS Edits is located in the Professional Companion Guide - Table 10 on the CSSC website at http://www.mcoservice.com/internet/Cssc.nsf/files/Feb_Release_EDCompanionGuide_837P_030712.pdf/$FIle/Feb_Release_EDCompanionGuide_837P_030712.pdf.

**Note:** The EDPPPS edits are subject to revision as implementation of the EDPS progresses.

Based on industry feedback and testing, the following changes were made to the EDPPPS Edits:

| EDPPPS Edit # | EDPPPS Edit Category | EDPPPS Edit Disposition | EDPPPS Edit Error Message | Revised Status |
|---|---|---|---|---|
| 02125 | Beneficiary | Reject | Anesthesia Service Without a Modifier | Changed from "Informational" to "Reject" |
| 14300 | Conflict | Informational | Admission Conflict with E&M Service | Removed from Professional Edits |

User Group

Thursday, March 15, 2012
3:00 P.M. – 4:00 P.M., ET

ARDX
A. Reddix &
Associates

CMS
CENTERS for MEDICARE & MEDICAID SERVICES

24

# Professional Edits Updates New Edits

| EDPPPS Edit # | EDPPPS Edit Category | EDPPPS Edit Disposition | EDPPPS Edit Error Message |
|---|---|---|---|
| 00011 | Validation | Reject | Claim Header Missing from Date of Service |
| 00012 | Validation | Reject | Date of Service Less than 01.01.2012 |
| 00050 | Validation | Informational | Provider Carrier and Locality Not on File for Servicing Location Zip Code |
| 00065 | Validation | Reject | Missing Pick Up Point Zip Code |
| 00070 | Validation | Informational | Ambulance Carrier Locality Not Found |
| 00270 | Validation | Informational | NCD Extracorporeal Photopheresis |
| 00745 | Validation | Reject | Anesthesia Service Without a Modifier |
| 00755 | Validation | Reject | Claim to be Voided is Already Voided |

ARDX
A. Reddix & Associates

CMS
CENTERS for MEDICARE & MEDICAID SERVICES

# Professional Edits Updates
# New Edits (cont'd.)

| EDPPPS Edit # | EDPPPS Edit Category | EDPPPS Edit Disposition | EDPPPS Edit Error Message |
|---|---|---|---|
| 00760 | Validation | Reject | Claim Adjustment is Already Adjusted or Adjustment is in Progress |
| 00761 | Validation | Reject | Unable to Void Due to Different Billing Provider on Void from Original |
| 00762 | Validation | Reject | Unable to Void Reject Claim |
| 03101 | Reference | Reject | Invalid Gender for Procedure Code |
| 03102 | Reference | Reject | Provider Type or Specialty Not Allowed to Bill Procedure |
| 03103 | Reference | Informational | Provider Specialty Not on File |
| 12085 | Limit | Informational | Pap Smear Within 3 Years and Not High Risk |
| 16071 | Pricing | Informational | ESRD Composite and Non-Composite Rate Lab Test Not Qualified for Separate Reimbursement |
| 98380 | Validation | Informational | Chemotherapy Administration Code With Non-Covered Injection |

ARDX
A. Reddix & Associates

CMS
CENTERS for MEDICARE & MEDICAID SERVICES

# CMS Updates

ARD℃
A. Reddix &
Associates

**CMS**
CENTERS for MEDICARE & MEDICAID SERVICES

# §1876 Cost HMOs/CMPs and §1833 HCPPs

- On Monday, 3/12, cost plans should have received an update/clarification regarding their encounter data submission requirements.

- CMS will only require submission of encounter data for Medicare covered items/services which plans claim on their CMS Cost Reports.

- Testing of institutional encounter data is not required for "billing option 1" Cost Plans or HCPPs.

28

- During the initial phase of Encounter Data planning, CMS conducted a series of various Work Groups; one of which focused on Program of All-Inclusive Care for the Elderly (PACE) requirements

- As Encounter Data guidance progresses in the implementation phase, CMS is revisiting the unique requirements for PACE Organizations

- The initial PACE Work Group is scheduled for Wednesday, March 21, 2012

- Registration is required

# Front-End Certification

- The deadline to meet front-end certification was January 3, 2012.

  - MAOs and other entities who are not front-end certified should do so immediately.

  - Compliance Alerts were distributed on March 1, 2012.

  - Noncompliance letters will be issued to MAOs and other entities not certified by March 14, 2012.

**Certification Status as of 03/13/2012*:**

| Total Plans | 557 |
|---|---|
| Total Plans Not Certified | 27 |

*These figures exclude PACE and Cost Plans.

30

# Submission Requirements

## Operational Guidance resources to submit encounter data:

| Resource | Purpose | Source |
|---|---|---|
| TR3 | Serves as the foundation and primary reference for 5010 electronic file submission | www.wpc-edi.com |
| CMS CEM Edits Spreadsheet | Provides CMS specific requirements for submission of 5010 electronic file submission | https://www.cms.gov/MFFS5010D0/20_TechnicalDocumentation.asp |
| Encounter Data Companion Guide | Provides encounter data specific guidance and must be used in conjunction with the associated TR3 and CEM edits spreadsheet | http://csscoperations.com/internet/Cssc.nsf/files/Jan_Release_EDCompanionGuide_837P_010912.pdf/$FIle/Jan_Release_EDCompanionGuide_837P_010912.pdf |

# **Resources**

ARDX
A. Reddix &
Associates

CMS
CENTERS for MEDICARE & MEDICAID SERVICES

# Resources

- CSSC Operations: http://www.csscoperations.com/internet/cssc.nsf/Home

- Encounter Data Outreach Registration: www.tarsc.info

- CMS: www.cms.gov

- EDS Inbox: eds@ardx.net

ARDX
A. Reddix & Associates

CMS
CENTERS for MEDICARE & MEDICAID SERVICES

# Resources *(cont'd)*

- X12 Version 5010 Standards: http://www.cms.gov/Version5010andD0/01_overview.asp

- CEM/CEDI Technical Reporting Formats: http://www.cms.gov/MFFS5010D0/20_TechnicalDocumentation.asp

- Washington Publishing Company: http://www.wpc-edi.com/content/view/817/1

ARDX
A. Reddix &
Associates

CMS
CENTERS for MEDICARE & MEDICAID SERVICES

34

*User Group*

**Thursday, March 15, 2012
3:00 P.M. – 4:00 P.M., ET**

**REMINDER:** The next User Group session will be held on March 29, 2012 from 3:00 PM EST – 4:00 PM EST.

Please remember to review the latest Companion Guide published at www.csscoperations.com.

ARDX
A. Reddix &
Associates

CMS
CENTERS for MEDICARE & MEDICAID SERVICES

# Closing Remarks

ARDX
A. Reddix &
Associates

CMS
CENTERS for MEDICARE & MEDICAID SERVICES