2012 Regional Technical Assistance
Job Aids

Thursday, August 9, 2012

Prescription Drug Event
# 2012 Immediately Actionable PDE Error Codes

<table>
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<th>CATEGORY</th>
<th>DESCRIPTION</th>
<th>RESOLUTION</th>
<th>CODES</th>
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<tr>
<td>Missing and Invalid Errors</td>
<td>• Errors due to formatting mistakes and data inconsistencies</td>
<td>• Determine cause of inconsistency, correct, and resubmit</td>
<td>603 - 645, 648 - 659, 663 - 664, 831 - 834</td>
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<tr>
<td>Catastrophic Coverage Errors</td>
<td>• Errors inconsistent with Catastrophic Coverage logic</td>
<td>• Correct data issue and resubmit</td>
<td>670 - 675</td>
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<td>Cost Field Errors</td>
<td>• Errors due to cost field summations</td>
<td>• Correct data issue and resubmit</td>
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<td>Beneficiary Related Errors</td>
<td>• Errors due to beneficiary data inconsistencies</td>
<td>• Correct data issue and resubmit</td>
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</table>
| Low Income Cost Sharing (LICS)  | • Errors related to the failure to grant sufficient low income cost sharing (LICS) subsidies | • Plan cost sharing for LICS eligible beneficiaries was less generous than the level set by CMS  
• Plans should correct the LICS levels in their system, refund beneficiary for excessive cost sharing  
• Resubmit PDE with correct LICS cost sharing amount | 716 - 721                  |
| National Drug Code (NDC)        | • Errors due to National Drug Code (NDC) validation                          | • Correct data issue and resubmit                                                                                                           | 735, 738, 744             |
| Service Provider ID Errors      | • Errors due to service provider ID or service provider ID qualifier mistakes | • Edits 780 and 781 - Correct data issue and resubmit  
• Edit 783 - CMS bypassing this edit. Plans should resubmit | 780 - 781, 783            |
| Miscellaneous Errors            | • Other errors CMS considers as immediately actionable.                       | • Confirm Plan Type  
• Plans should only map CPP/NPP for Enhanced Alternative (EA) plans or plans that were told to submit as EA (e.g., employer plans, payment demonstrations) | 779, 786                  |
| PACE                            | • Errors related to PACE eligibility                                         | • Correct data issue and resubmit                                                                                                           | 800 - 808, 810 - 819      |
| Gap Discount                    | • Errors inconsistent with Coverage Gap logic                                | • Correct data issue and resubmit                                                                                                           | 865 - 869                 |
Invoice Process Flow

Part D Sponsors submit PDEs to DDPS

*Aggregates accepted PDEs submitted in a quarter with Reported Gap Discount > 0

DDPS*

CMS performs analysis to identify PDEs to be potentially withheld

Acumen posts withhold to PDE Analysis website for Part D sponsor response

Part D Sponsors provide response on Acumen website

PDE valid?

Yes

Part D Sponsors provides valid response

No

Part D Sponsors adjusts/deletes PDE

TPA generates and distributes Invoice and Tracking Reports

Part D Sponsors provide response

PDE is released to be invoiced

Response accepted?

Yes

No
Dispute Process Flow

Manufacturer receives TPA Invoice → Manufacturer conducts analysis to determine PDEs to dispute → Manufacturer sends Dispute File to TPA → TPA performs analysis based on dispute reason codes

Dispute denied by rule?

Yes → TPA makes final decision

No → TPA analyzes Part D Sponsor responses to dispute

Is additional information needed from Part D Sponsor?

Yes → Part D Sponsor provides responses

No → Acumen posts disputes requiring additional information to PDE Analysis website

TPA makes final decision → TPA upholds or denies each dispute

TPA generates dispute reports to manufacturers and to sponsors → Part D Sponsor corrects disputes upheld in manufacturer’s favor → Dispute Process Ends